Oral health, stress and barriers accessing dental health care among war-affected Ukrainian refugees in Germany

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Maksym Ponomarenko¹ and Andrea Kaifie^{1*}

Abstract

Background After Russian invasion many Ukrainians fled to European countries including Germany. In this context, the German health care system faced challenges delivering dental care to a displaced population. Recently surfaced obstacles as well as different cultural and medical traits need to be considered in order to deliver appropriate medical care. The aim of this study was to evaluate oral health and hygiene of Ukrainian refugees, identify barriers accessing dental health care and explore the relation to their mental health state.

Methods This cross-sectional study was conducted using a self-assessment questionnaire, distributed via non-probability snowball sampling method among war-affected Ukrainians, who fled to Germany. The online form was distributed via web-based platforms, the printed version was hand-delivered across diverse local venues. Chi-Square Tests, T-Tests and Mann-Whitney-U Tests were performed. Analysis of variance and Spearman correlation coefficient analysis were also conducted.

Results From 819 completed questionnaires, 724 questionnaires were included in the analysis with 78 males (10.8%) and 640 females (88.6%) and a mean age of 37.5 years (SD = 10.5). The majority of participants rated their state of teeth (77%) and gums (81%) as average or better. The main problems, caused by state of their teeth, were: "Have avoided smiling because of teeth" (23.6%) or "Felt embarrassed due to appearance of teeth" (22.2%). The most frequent limiting factors to access dental care were finances (82.6%), language (82.2%) and complicated health care system (74.1%). 45.8% of the participants scored 10 or more in the Patient Health Questionnaire and 37.4% in the Generalized Anxiety Disorder 7-item scale, respectively. These participants were more likely to report pain, poor state of teeth and gums and to fail a dental consultation. Overall, 59.6% participants reported not consulting a dentist, when needed. Failed consultations were associated with a poorer reported state of teeth and gums.

Conclusions Ukrainian refugees reported barriers accessing dental health care in Germany. It is important to improve oral health literacy and dental services for displaced people and provide help and guidance in seeking dental care

Keywords Unmet needs, Stress, Anxiety, Asylum seeker, Barriers accessing dentist

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Background

As a result of various conflicts, wars and violence led to more than 40 million refugees and asylum seekers world- wide at the end of 2022 according to the United Nations Refugee Agency [1]. The ongoing war in Ukraine forced

6.3 million Ukrainian refugees to flee their homes seeking safety as of June 2023 [1]. Germany alone welcomed over 1 million Ukrainian refugees [2]. However, this also created new challenges for the health care system and in particular, for dental care.

Dental care is important for wellbeing and overall health [3]. Impaired oral health is associated with heart disease, mental health problems as well as respiratory infections [4–7]. Therefore, it is essential to maintain adequate dental care for all people, including refugees and asylum seekers. While escaping war and violencethey often had only restricted access to basic health care services in their home country or in a country of tempo- rary stay [8]. The level of oral health, awareness of dental hygiene practices and overall level of healthcare knowl- edge varies across different countries [9]. Therefore, den- tists in host countries maybe confronted with unique challenges while treating patients from other regions.

Despite successful efforts to welcome and integrate displaced populations, refugees often face various barri- ers and limitations accessing dental health care [10–16]. Additionally, their priorities throughout the resettlement phase are mainly the integration into the host nation, learning a new language, finding sources of income, and establishing a normal level of life. As a result, dental care and hygiene frequently play a rather subordinate role to other urgent needs. This impacts oral health behavior and results in high caries experiences, untreated teeth and additional complications [17–19]. The understanding of actual needs and barriers could help to identify successful strategies of providing appropriate dental care.

War refugees from Ukraine were able to enter Ger-many without a visa or residence permit and were allowed to stay for 90 days (until 31.08.2022) without reg-istration [20]. However, if they wanted to stay for a longerperiod of time or receive social assistance, they needed to apply for a humanitarian residence permit from the immigration office. Before this, Ukrainian refugees were entitled to benefit from the Asylum Seekers Beneficts Act (AsylbLG) meaning their access to healthcare was restricted and included only treatment of acute pain andillnesses[21].

Previous studies examining oral health of Ukrainian refugees immigrating to Germany after the beginning ofthe 2022 war are limited. The aim of this study is to deter-mine the status of oral health among newly arrived refu-gees, explore their oral health practices, identify barriers and limitations accessing dental care in Germany and

investigate their mental state in relation to oral health problems.

Methods

Study design, participants and data collection

This cross-sectional study took place in North Rhine-Westphalia, Germany between September and December 2022 and included people with Ukrainian citizenship, which flew their home country because of the war. All participants required to be at least 14 years old. Ukrainians, who traveled to Germany before the war, and were not able to get back to Ukraine were also included.

In order to reach more participants, two versions of the questionnaire were distributed: a paper-based and a webbased version, distributed via non-probability snow- ball sampling method. The web-based questionnaire was developed with SoSci Survey (SoSci Survey GmbH, Munich, Germany) and was available online at www.sos- cisurvey.de [22]. Information about the study with a linkto the survey was shared on internet resources, related toUkrainian refugees in different German cities. The paper- based version was distributed personally through local community centers, local organizations and other placesof interest, frequently visited by Ukrainians. The inves-tigator, who is fluent in Ukrainian, shared information about the study and offered voluntary participation dur-ing the visits. Ethical approval for the study was obtained from the local Ethics Committee of the RWTH Aachen University (EK22-292, 15 September 2022). At the begin-ning of survey all participants were informed about aim of the study and their anonymity. Participation in this study was voluntary.

Questionnaire development

Our questionnaire consisted of 35 items, including subquestions, and covered the following general topics:

- 1. Filter questions.
- 2. General demographic information.
- 3. Oral health status and practices.
- 4. Dental care access.
- 5. Unmet needs for dental health services.
- 6. Stress and anxiety measurements.

In this study, mainly already validated tools were usedin order to produce standardized data and compare it to other studies [23]. Information on oral health status and practices was based on WHO's (World health Organiza-tion) manual and self-assessment survey "Oral Health Surveys – Basic Methods"[24] in line with different stud- ies regarding oral health [16, 19, 25]. For stress and anxi- ety measurement, the modules from the Patient Health Questionnaire Somatic, Anxiety, Depressive Symptoms (PHQ-SADS) were used: the 9-items containing Patient Health Questionnaire (PHQ-9) and the Generalized Anxiety Disorder 7-item scale (GAD-7). These scales

are well-validated and widely used instruments for the screening and monitoring of depression and anxiety with high sensitivity and specificity [26–30]. A cut-off scoreof 10 was chosen implying at least moderate depressionor anxiety levels. The oral health and barriers part of the questionnaire was translated to the main languages spo- ken in Ukraine, Ukrainian and Russian. The bilingual investigator checked for cross-language equivalence [31]. PHQ-9 und GAD-7 scales were already available and val- idated in both languages [32]. The English version of the complete questionnaire can be found in the supplemental section (see **Additional file 1**).

After finalizing, the questionnaire was pilot-tested by a group of 10 Ukrainian participants. Despite of minor grammatical improvements, they reviewed the survey asclear and easy to understand.

At the beginning of the survey, participants had to answer two filter questions: "Are you a Ukrainian citi- zen?" and "Did you flee to Germany since February 2022?" If both answers were answered with "Yes", the par-ticipant could proceed with the questionnaire.

Demographics included questions about gender, age, marital status, education, language skills, place of residence in Ukraine and in Germany, health insurance, and accompanying family or friends.

The part concerning oral health status and practices included questions about state of teeth and gums, pain experience, oral hygiene practice, visiting a dentist in Ukraine and in Germany, difficulties in everyday life because of teeth, nourishment, smoking and drinking alcohol.

The dental care access part contained ratings of barriers in form of a 6-point Likert scale. After literature researchthe main barriers, defined in previous qualitative stud- ies [13, 15, 33], were chosen and included for quantita- tive exploring. These were: language barriers, financial barriers, transport barriers, availability in home region, difficulties understanding dental health care system, dif-ficulties finding a dentist, dental anxiety, trust issues with the dentist, cultural and religious beliefs, social barriers. Moreover, in the unmet needs part, the participants wereasked if they failed a consultation, meaning if there was a time when a participant wanted to consult a dentist but did not. Subsequent to this question, the participants could state, which barriers led to this failed consultation.

Statistical analysis

The primary survey data was analyzed using Statistical Analysis System Software (SAS Studio Release 3.8 Enterprise Edition, SAS Institute Inc., Cary, NC, USA). Descriptive statistics were used for all participants in order to describe the most important trends. As a result, frequency analysis was performed for all categorical variables. Medians, interquartile ranges and standard

deviations were calculated for continuous variables. Chi-Square Tests, T-Tests and Mann-Whitney-U Tests were performed. Analysis of variance was performed todescribe relation between categorical and continuous variables. Spearman correlation coefficient was used to calculate association between ordinal data. Likert Scales and some other variables were also dichotomized in order to run Chi-Square Tests. Some categorical vari- ables were simplified for statistical analysis. The level of significance was set at 5%.

Results

Sociodemographics

Overall n = 819 participants participated in the survey. Altogether, n = 724 questionnaires were included in the analysis with n = 78 males (10.8%) and n = 640 females (88.6%), as described in Table 1. The mean age of the participants was 37.5 years (SD = 10.5). Over half of the study participants were married (51.9%; n = 375;), fol-lowed by singles (19.4%; n = 140) and divorced (15.9%;n = 115). In terms of education, most of the participants (72.6%; n = 523) had completed higher education and approximately 17% (n = 121) vocational or technical edu-cation. Half of the participants rated their level of Eng- lish as average or better, but only 11.6% (n = 81) felt the same way about their German. Most of the refugees came from Eastern Ukraine (38%; n = 274) and large cit- ies (66.3%; n = 478). Additionally, the majority (82.9%;n = 599) arrived in Germany with their families. Morethan 78% (n = 568) of the participants have been staying in Germany for at least 4 months. Less than 9% (n = 58) of the participants lacked health insurance in Germany, all other refugees were already insured.

Oral health

The overall reported oral health status was at a good level among the participants. Most of them described the stateof their teeth at least average (77%; n = 542). The state of gums was slightly better, only 9.1% (n=76) reported pooror very poor gums. Moreover, the participants showed very good oral hygiene practices (Table 2). Most of the participants cleaned their teeth once, twice or moretimes a day (49.9%; n = 357 and 44.4%; n = 318 respec-tively) with toothbrush (98.3%; n = 318) and toothpaste (99.9%; n = 712). Although around 45% (n = 305) of theparticipants used tooth paste with fluoride, 41% (n = 281) did not know if their toothpaste contained fluoride and 14% (n = 97) did not use fluoride tooth paste. The most frequent additional tooth cleaning products were floss (40%; n = 284) and toothpick (22.3%; n = 160).

Regarding food habits, fresh fruits were popular. Morethan half of participants (n = 346; 50.5%) reported to con-sume them every day or more often. 36.2% (n = 248) ate fresh fruits several times a week and only 3.2% (n = 22) ate

Table 1 Sociodemographic information about the participants

Sex (n (%))		Age (mean (SD))		
Female	640 (88.6)	37.5 (10.5)		
Male	78 (10.8)			
Diverse	4 (0.6)			
Marriage s	status (n (%))	Education (n (%))		
Married	375 (51.9)	Higher Education	523 (72.6)	
Single	140 (19.4)	Vocational or technical education	121 (16.8)	
Divorced	115 (15.9)	General secondary education	59 (8.2)	
In a relationship	77 (10.6)	Other	17 (2.4)	
Widowed	16 (2.2)			
Level of E	nglish (n (%))	Level of German (n (%))	
Very poor	164 (24)	Very poor	412 (58.9)	
Poor	174 (25.5)	Poor	206 (29.5)	
Average	213 (31.2)	Average	58 (8.3)	
Good	88 (12.9)	Good	14 (2)	
Very good	44 (6.4)	Very good	9 (1.3)	
Home region in Ukraine (n (%))		Size of home city in Ukraine	(n (%))	
Eastern Ukraine	274 (38)	Large city	478 (66.3)	
Central Ukraine	257 (35.6)	Medium city	131 (18.2)	
Southern Ukraine	134 (18.6)	Small city	82 (11.4)	
Western Ukraine	56 (7.8)	Village or urban-type village	30 (4.1)	
Came alone or v	vith family? (n (%))	Size of city in Germany (n (%))		
With family members	599 (82.9)	Large city	238 (33.2)	
Alone	87 (12)	Medium city	235 (32.7)	
With friends / acquaintances	29 (4)	Small city	141 (19.6)	
With other people	8 (1.1)	Village or urban-type village	104 (14.5)	
Time in Ge	rmany (n (%))	Insurance (n (%))		
1–2 months	50 (6.9)	No	58 (8.2)	
3-4 months	85 (11.8)	Yes	646 (91.8)	
4–6 months	345 (47.8)			
Less than 1 month	19 (2.6)			
More than 6 months	223 (30.9)			

them seldom or never ate them. Sweets or candies were mostly consumed several times a week. When the partic- ipants were asked if they smoke cigarettes, the majority reported (74.8%; n = 511) to have never smoked.

A significant predictor for oral health was education. Participants with a higher education reported a better state of teeth (mean 3.4 (SD 1.0)) and gums (mean 3.8(SD 1.1)) compared to other participants (mean 2.8 (SD 1.2) and mean 3.5 (SD 1.1)), respectively. In addition, par-ticipants with higher education more often visited a den- tist for a dental check-up routine (69.3%; n=377).

Most of the participants (n=521; 76.2%) were visit-ing a dentist at least once a year. However, around 79%(n=558) have suffered from pain, caused by teeth ormouth, within the last 12 months. Pain was also the mainreason for visiting a dentist in Germany (49.7%; n = 169). The main reason for visiting a dentist in Ukraine was treatment / follow-up treatment (47.4%; n = 253), fol-lowed by pain / trouble with mouth (22.1%; n=118). Rou-tine check-ups were the less frequent reason for visitinga dentist in Germany (9.1%; n=31). In Ukraine 20.6%

of participants (n = 110) visited a dentist for a check-up routine.

When participants were asked to rate different prob- lems, caused by the state of their teeth, the main reportedproblems were: "Have avoided smiling because of teeth" (23.6%), "Felt embarrassed due to appearance of teeth" (22.2%), "Felt tense because of problems with teeth/ mouth" (22.2%), as depicted in Fig. 1.

Limiting factors

Figure 2 demonstrates how much different factors in opinion of participants limit their access to a dentist in Germany.

Financial barriers were the most reported limiting fac-tor to access dental care, stated by 82.6% (n = 540) of the participants (at least somewhat agree). Language barri- ers were reported by 82.2% (n = 536) of the participants. 74.1% (n = 484) at least somewhat agreed, that the com- plicated health care system was a limiting factor, as wellas problems with finding a dentist (n=466; 71.4%).

Table 2 Oral Health

State of teeth (n (%))		State of gums (n (%))	
Very poor	44 (6.2)	Very poor	13 (1.9)
Poor	118 (16.8)	Poor	50 (7.2)
Average	282 (40.1)	Average	241 (34.7)
Good	178 (25.3)	Good	247 (35.6)
Very good	65 (9.2)	Very good	88 (12.7)
Excellent	17 (2.4)	Excellent	55 (7.9)
Frequency of cleaning	teeth (n (%))	Using toothbrush (n	(%))
Twice or more a day	318 (44.4)	Yes	705 (98.3)
Once a day	357 (49.9)	No	12 (1.7)
2–6 times a week	31 (4.3)		
Once a week or more rarely	10 (1.4)		
Consuming sweets or c	andies (n (%))	Smoking cigarettes (n	(%))
At least every day	132 (19.2)	Every day	104 (15.2)
Several times a week	252 (36.8)	Several times a week	15 (2.2)
Once a week	93 (13.6)	Once a week	2 (0.3)
Several times a month	139 (20.3)	Several times a month or more rarely	51 (7.5)
Seldom/never	69 (10.1)	Never	511 (74.8)
Reason for last dental visit i	,	Frequency of visiting dentist in	, ,
Pain or trouble with teeth, gums or mouth	169 (49.7)	Twice a year or more	304 (44.4)
Treatment / Follow up treatment	107 (31.5)	Once a year	217 (31.7)
Consultation / advise	33 (9.7)	Less than once a year	141 (20.6)
Routine check-up	31 (9.1)	I do not know	19 (2.8)
rtodano onocit ap	01 (0.1)	Never received dental care	3 (0.5)
Reason for last dental visit	in Ilkraine (n (%))	Pain during last 12 month, caused by	` '
Reason for last defital visit	in Oktaine (ii (70))	(%))	rectif of moduli: (ii
Pain or trouble with teeth, gums or mouth	118 (22.1)	Yes	558 (78.7)
Treatment / Follow up treatment	253 (47.4)	No	147 (20.7)
Consultation / advise	53 (9.9)	I do not know	4 (0.6)
Routine check-up	110 (20.6)		. (6.6)
Failed a consultation	, ,	Reasons for failed consultat	ion (n (%))*
Yes	390 (59.6)	Language barriers	260 (66.5)
No	264 (40.4)	Financial barriers	246 (62.9)
Started treatment in U	,	Problems with finding a dentist	219 (56)
Yes	252 (41.7)	Complicated health care system	162 (41.4)
No	353 (58.3)	Dental anxiety	76 (19.4)
Continued treatment in G	` '	Trust issues	68 (17.4)
			, ,
Yes No	79 (27.3)	Social barriers	42 (10.7)
No Did not approb for a dontiet	146 (50.3)	Transport barriers	41 (10.5)
Did not search for a dentist	65 (22.4)	Availability in region	31 (7.9)
		Cultural and religious beliefs	0 (0)
W. Calab	0	Other	27 (6.9)
Variable	Groups	Value	p
State of teeth	With higher education	3.4 (1.0)	<.0001
(mean (SD))	Other	2.8 (1.2)	0040
State of gums (mean (SD))	With higher education	3.8 (1.1)	.0010
	Other	3.5 (1.1)	
Last time visited dentist for check-up	With higher education	120 (24.1)	.0002
(n (%))	Other	21 (11.2)	

^{* -} Multiple choice was available

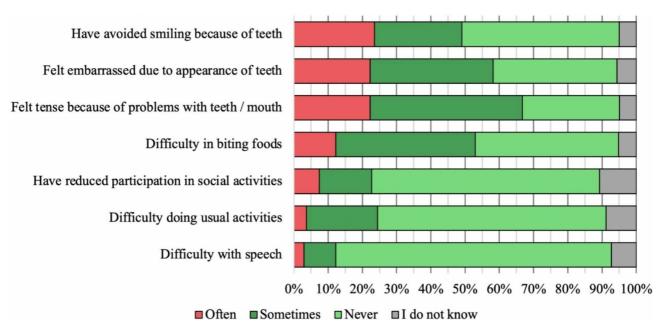


Fig. 1 Reported problems because of the status of the teeth

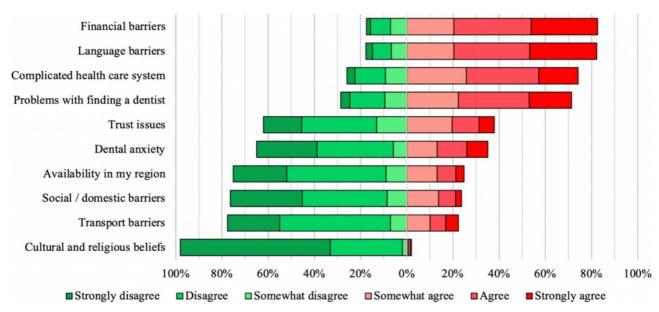


Fig. 2 Limiting factors accessing dental health care

There was no statistically significant difference in limit-ing factors between males and females, except for trust issues (see Table 3). Females were more likely to report trust issues (39.6%) compared to males (21.9%). Age was a significant predictor for language barriers, trust issues, dental anxiety and social/domestic barriers. Participants, who reported language barriers were older (mean age

37.8 years (SD 10.6)) compared to those without report-ing language barriers (mean age 35.3 years (SD 10.5)). Younger participants (mean age 35.1 years (SD 9.0)) reported trust issues more often than older participants

(mean age 38.8 years (SD 11.4)). Trust issues were significantly associated with the size of city in Germany.

3.4. Unmet dental needs

When asked if there if there was a time in Germany when they needed to consult a dentist but did not, 59.6% (n = 390) answered with "yes" (see Table 2). They were subsequently asked for the reasons of the failed consul- tation. There was a statistically significant difference between people, who came with family to Germany, with regard to the frequency of failed consultations compared to participants who did not came together with

Table 3 Limiting factors and failed consultations

	Limiting fac	Limiting factors					Failed a	
	Financial barriers	Language barriers	Complicated health care system	Problems with finding a dentist	Trust issues	Dental anxiety	Social / domestic barriers	consul- tation
Gender (n (%))								
Males	52 (80)	53 (81.5)	53 (81.5)	47 (72.3)	14 (21.9)*	19 (29.2)	11 (17.2)	34 (53.1)
Females	482 (82.7)	478 (82.3)	428 (73.5)	414 (71.1)	230 (39.6)*	207 (35.6)	139 (24.1)	352 (60.3)
Age (mean (SD))								
Experienced this barrier	37.6 (10.7)	37.8 (10.6)*	37.3 (10.4)	37.3 (10.7)	35.1 (9.0)**	36.2 (10.6)*	33.9 (8.3)**	37.02 (9.64)
Did not experience this barrier	36.9 (10.6)	35.3 (10.5)*	37.8 (11.4)	37.7 (10.7)	38.8 (11.4)**	38.1 (10.7)*	38.4 (11.0)**	37.90 (11.78)
Size of the city in German	y (n (%))							
Large or medium	450 (81.8)	445 (81.2)	411 (74.9)	399 (72.4)	218 (39.8)*	185 (33.6%)	128 (23.5)	
Small or village	89 (86.4)	90 (87.4)	73 (70.9)	66 (65.4)	28 (27.5)*	43 (42.6%)	25 (24.5)	
Arrived alone or with fam	ily (n (%))							
Alone	101 (88.6)	89 (78.8)	79 (69.9)	86 (75.4)	39 (34.8)	38 (33.3)	14 (12.6)*	55 (48.3)*
With family	439 (81.3)	447 (82.9)	405 (75.0)	380 (70.5)	208 (38.6)	191 (35.4)	139 (25.9)*	335 (62.0)*

^{**-}p≤.0001; *-p<.05

Table 4 Mean PHQ-9 and GAD-7 scores for males and females

	PHQ-9	GAD-7
	(mean score (SD))	(mean score (SD))
Males	6.48 (4.45) ***	5.59 (3.99) ***
Females	10.24 (6.05) ***	8.83 (5.27) ***
*** - p ≤ .0001	• ,	, ,

Table 5 Relation between stress or anxiety and oral health

	PHQ-9≥10	GAD-7 ≥ 10
Pain, caused by teeth or mouth (n (%))	243 (84.1)*	205 (86.9)**
State of teeth is poor / very poor (n (%))	78 (27.4)*	65 (28.0)*
State of gums is poor / very poor (n (%))	39 (13.8)**	33 (14.4)**
Feeling embarrassed due to appearance of teeth (n (%))	185 (66.8)*	152 (68.5)*
Reduced participation in social activities (n (%))	82 (31.4)*	72 (34.3)**
Failed consultations (n (%))	189 (64.7)*	167 (70.5)***

^{*** -} p < .0001; ** - p < .001; * - p < .05

their family (see Table 3). Participants with family were more likely to not visit a dentist (n = 335; 62.0%) when they needed one compared to participants who came to Germany alone (n = 55; 48.3%). There was no difference concerning other demographic characteristics (gender, age, education) (see Additional File 2). Interestingly, participants, who reported an unsuccessful consultationwere more likely to report a poor or a very poor state of teeth (n = 111; 74.5%) and gums (n = 44; 75.9%), compared

to other participants (n = 271; 54.9% and n = 337; 58.1% respectively)

41.7% (n = 252) of the participants have started a dental treatment in Ukraine (Table 2) that needed to be continued in Germany. However, only 27.3% (n = 79) continued the treatment, while 22.4% (n=65) did not search for a

dentist and 50.3% (n = 146) were not able to continue the treatment in Germany.

Stress and anxiety

The mean PHQ-9 score to screen for depression was 9.9 (SD 6.01) and the mean GAD-7 score to detect anxietywas 8.5 (SD 5.26). 45.8% of the participants (n = 292) scored 10 or more in PHQ-9 and 37.4% (n = 237) scored 10 or more in GAD-7 indicating at least moderate symp- toms. There was a significant association between gen-der and PHQ-9 with females reporting higher mean scores compared to males (see Table 4). Also, for GAD-7 females reported higher mean scores with 8.83 (SD 5.27) compared to males with a mean score of 5.59 (SD 3.99).

There was a statistically significant relation betweenstress or anxiety and oral health (see Table 5). Participants

with PHQ-9 score ≥ 10 were more likely to report pain, caused by teeth or mouth (84.1%; n = 243) than partici- pants with a PHQ-9 score < 10 (76.3%; n = 260) The same relation was observed for GAD-7 (86.9%; n = 205 and 75.5%; n = 295respectively). Participants with a PHQ-9 score ≥ 10 reported more often a poor or a very poor state of teeth (27.4%; n=78)and gums (13.8%; n = 39) com-pared to participants with a PHQ-9 score < 10 (19.4%; n = 66 and 5.3%; n = 18respectively). Participants report-ing a GAD-7 score≥10 rated more often that their stateof teeth (28.0%; n = 65) and gums (14.4%; n = 33) was poor / very poor compared to participants with a GAD 7-score < 10 (20.0%; n = 78 and 5.9%; n = 23respectively). Overall, high PHQ-9 and GAD-7 scores were associ- ated with a reported feeling of being embarrassed due to the appearance of teeth and a reduced participation insocial activities. Interestingly, participants with PHQ-9 score ≥ 10 (64.7%; n = 189) or GAD-7 ≥ 10 (70.5%; n = 167)

were less likely to consult a dentist, when needed, and reported failed consultations more frequent compared to participants who scored less than 10 in the PHQ-9 (54.9%; n = 189) and GAD-7 (52.8%; n = 209) scales.

Discussion

In this study a high prevalence of limitations accessing dental health care and unmet needs in line with high stress and anxiety levels was observed. To the best of our knowledge, this is the first study, that covers these topicsin the population of Ukrainian refugees in Germany.

Considering the sociodemographic data in this study, the population of Ukrainian refugees showed important differences compared to previous studies on refugees. Participants were predominantly middle-aged women with a high level of education. This differs from previ-ous studies, where most of the participants were younger males with a lower level of education [10, 16, 19, 33, 34]. Therefore, specific dental care needs differ from previous studies. A low level of German as well as an average levelof English could play a significant role in terms of barriers accessing dentist, which will be further discussed.

Previous studies suggest, that oral health literacy is associated with oral health [35, 36]. The reported good state of teeth and gums, and the reported good oral hygiene practices as well as the frequent visit of a den- tist in Ukraine allows the assumption that the level of oral health literacy was high among the participants. How- ever, high uncertainty regarding the presence of fluoride in toothpaste and infrequent check-ups left room for improvement. Life circumstances, such as a current warin the home country and adapting to a new country maybe an explanation among this particular group of refu- gees [37]. According to a recent study among the popula-tion in Germany [38] and the German Oral Health Study5 (Deutsche Mundgesundheitsstudie V) [39], at least 60%

of participants visited a dentist for regular check-ups, which is considerably higher than the reported 21% of check-ups in refugee's home country and demonstrates need to further improve preventive dentistry literacyamong refugees, also in their home countries. In line with this study, a high level of concerns regarding aes- thetic and appearance of teeth was observed.

Participants reported a high level of barriers accessing dental care, such as finances, language and a complicated health care system. Although, most participants alreadyhad active health insurance in Germany, only basic dental treatment is covered by the health insurance. However, barriers in dental care needs of refugees exceed finan-cial strains and additional factors need to be considered, as well. Providing clearer information about the dental health system and costs in the refugees' native languagecould help to overcome this fear and increase the uptake. Participants, who reported unsuccessful consultations were more likely to report a poor or a very poor stateof teeth and gums. Various factors, that associated with missed or cancelled dental appointments, such as self-paying for dental care [40], high caries experience, negative beliefs of dentists and others [41]. These factors were reflected in barriers and limitations, experienced by Ukrainian refugees. Therefore, missing dental con- sultations would likely have a negative impact on dentalhealth. Conversely, a bad state of teeth and gums could also lead to dental anxiety and unattendance [42]. This underscores the importance of encouraging dental ser-vices and making it accessible among this population.

With regard to mental health, the mean PHQ-9 and GAD-7 scores of participants were significantly higher among the study population compared to the Ger- man population, indicating a high mental burden [43]. According to a recent study in Germany, only 31.1% exceeded the cutoff score for depressive symptoms com-pared to 45.8% of the Ukrainian participants, and 21.2% exceeded the cutoff score for anxiety compared to 37.4% in this study population [44]. As expected, stress and anxiety levels were higher among the Ukrainian refugees and especially among females. This high mental burdenmay explain the frequent failed dental consultations. Moreover, there was a statistically significant relation between stress or anxiety and oral health. Participants who exceeded the cut-off scores for GAD-7 and PHQ-7reported more frequent pain, felt embarrassed or rated their state of teeth and gums as bad. These findings indi-cate a link between mental health and oral health, and are presenting the influence of mental health on self-per-ception. Therefore. it is important for dentists to under- stand the unique needs of Ukrainian refugees, identify specific problems and possible solutions. Dentists should improve their knowledge on posttraumatic syndromes,

behavioral sciences or psychology in general and in particular regarding war-affected people.

Finally, it is important to mention the limitations ofthis study. Due to limited resources, the oral health of the participants was assessed with a self-assessment questionnaire and not by clinical examination. A low accu-racy of self-reported questionnaires might be considered [45], although other authors stated that self-reported oralhealth is associated with normative indices of oral health[46]. Further, non-probability sampling methods were used. This could have led to a selection bias and reduc-ing the likelihood of a representative sample of Ukrai-nian refugees in Germany. It is possible, that refugees, who encountered more limitations while accessing dentalhealth care were more interested in participating in this study. Finally, the cross-sectional design of this study isnot allowing to establish causalities. Despite these limita-tions, our study represents the first known examination of oral health among Ukrainian refugees in Germany, andthus provides an important contribution to the literature. There are still significant knowledge gaps about specific needs of Ukrainian refugees. The ongoing war in Ukraineresulted in a relatively new displaced population with dif-ferent cultural and medical needs, compared to previous displaced people. Further research is required in order to assess these needs and improve access to optimal dental services.

Conclusions

The present study shows a high prevalence of barriers and limitations accessing dental health care among Ukrai- nian refugees in Germany. Together with high stress andanxiety levels these barriers and limitations could lead tounmet dental needs and subsequent worsening of oral health. Despite of some well-known barriers, there are differences compared to other displaced populations. Therefore, it is important to improve dental services fordisplaced people, consider their unique needs, provide financial and informational guidance, and prepare healthworkers to face novel challenges in dental care services.

Supplementary Information

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Supplementary Material 1

Supplementary Material 2

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Author contributions

PM designed the study, developed, translated and distributed the questionnaire, analyzed the data, wrote the manuscript. KA designed the study, reviewed the questionnaire and the manuscript.

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Data Availability

The dataset(s) supporting the conclusions of this article can be made available upon request from the corresponding author.

Declarations

Competing interests

The authors declare no competing interests.

Ethics approval and consent to participate

Ethical approval for the study was obtained from the local Ethics Committee of the RWTH Aachen University (EK22-292, 15 September 2022). Informed consent was obtained from all subjects and/or their legal guardian(s).

Consent for publication

Not applicable.

Footnotes

Not applicable.

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Supplementary Table 1: Barriers to access dental health care

	Financial barriers	Language barriers	Complicated health care system	Problems with finding a dentist	Trust issues	Dental anxiety	Social / domestic barriers	Failed a consultation
Gender (n (%))								
Males	52 (80)	53 (81.5)	53 (81.5)	47 (72.3)	14 (21.9)*	19 (29.2)	11 (17.2)	34 (53.1)
Females	482 (82.7)	478 (82.3)	428 (73.5)	414 (71.1)	230 (39.6)*	207 (35.6)	139 (24.1)	352 (60.3)
Age (mean (SD))								
Agreed	37.6 (10.7)	37.8 (10.6)*	37.3 (10.4)	37.3 (10.7)	35.1 (9.0)**	36.2 (10.6)*	33.9 (8.3)**	37.02 (9.64)
Did not agree	36.9 (10.6)	35.3 (10.5)*	37.8 (11.4)	37.7 (10.7)	38.8 (11.4)**	38.1 (10.7)*	38.4 (11.0)**	37.90 (11.78)
Education				•				
Higher Education								278 (58.8)
Other								110 (61.8)
Size of the city in Gerr	nany (n (%))			•				
Large or medium	450 (81.8)	445 (81.2)	411 (74.9)	399 (72.4)	218 (39.8)*	185 (33.6%)	128 (23.5)	
Small or village	89 (86.4)	90 (87.4)	73 (70.9)	66 (65.4)	28 (27.5)*	43 (42.6%)	25 (24.5)	
Time in Germany (n (%))			•			•	•
Less than 4 months	416 (89.2)*	119 (86.2)	107 (77.5)	102 (73.8)	44 (32.1)	51 (36.7)	25 (24.5)	
4 months or more	89 (80.9)*	416 (81.1)	377 (73.4)	363 (70.8)	203 (39.6)	178 (34.7)	128 (23.5)	
Arrived alone or with	family (n (%))							
Alone	101 (88.6)	89 (78.8)	79 (69.9)	86 (75.4)	39 (34.8)	38 (33.3)	14 (12.6)*	55 (48.3)*
With family	439 (81.3)	447 (82.9)	405 (75.0)	380 (70.5)	208 (38.6)	191 (35.4)	139 (25.9)*	335 (62.0)*
State of teeth (n (%))								
Poor / very poor								111 (74.5)**
Average or better								337 (58.1)**
State of gums (n (%))								
Poor / very poor								44 (75.9)*
Average or better								337 (58.1)*
Multiple choice was ava ** - $p \le .0001$; * - $p < .$								

Supplementary Material 2. Questionnaire



General information about the study

Aim of the study: In this study you are going to anonymously answer questions about the dental care in Germany. The aim of the study is to research the accessibility of dental care for Ukrainian refugees, which barriers and difficulties result.

Participation requirements: You are at least <u>14 years old</u> Ukrainian citizen and fled to Germany since February 2022

Duration: This questionnaire will take approximately 10 minutes to complete.

Anonymity: All information you provide here will be saved and processed <u>anonymously</u>. This means that no information can be traced back to you personally.

Contact: If you have any questions about this study, you will find the contact details of the study directors at the end of survey.

Your participation in this study is voluntary. There are no disadvantages for you if you do not participate or discontinue your participation at any time during this study!

By completing this questionnaire, you confirm that you have read and understood the information given above and that you consent to the anonymous processing of your data.

1.	Are you Ukrainian citize	en?				
	☐ Yes					
	□ No					
	□ I don't know					
2.	Did you flee to German	y since February	/ 2022?			
	☐ Yes					
	□ No					
	☐ I don't know					
		De	emographic inf	ormation		
3.	Sex:					
	☐ Male					
	☐ Female					
	☐ Divers					
4.	How old are you?					
5.	Marriage status:					
	☐ Single					
	☐ Married					
	☐ In a relationship)				
	☐ Divorced					
	☐ Widowed					
6.	What is the highest lev	el of education y	ou have comp	leted?		
	☐ Currently pupil	at school				
	☐ General second	ary education				
	Vocational and	technical educat	tion			
	☐ Higher Education	n				
	☐ Other					
7.	Language level:					
						_
		Very good	Good	Average	Poor	Very poor
	a) English					
	b) German					

8. Where did you live permanently in Ukraine?



		A - Western Ukraine
		B - Central Ukraine
		C - Eastern Ukraine
		D - Southern Ukraine
9.	Which	of these best describes place where you lived in Ukraine?
		Large city (population equal or more than 500 000)
		Medium sized city (population 100 000 - 500 000)
		Small city (less than 100 000)
		Village or urban-type village
10.	Which	of these best describes place where you currently staying in Germany?
		Large city (population equal or more than 500 000)
		Medium sized city (population 100 000 - 500 000)
		Small city (less than 100 000)
		Village or urban-type village
11.	How Ic	ong are you already in Germany?
		< 1 month
		1-2 months
		3-4 months
		4-6 months
		> 6 months
12.	Do you	ı have German health insurance?
		Yes

☐ No

☐ I don't know

13. Did you arrive in Germany alone or together with family members or with friends / acquaintances? (Multiple answers possible)



Thread (dental floss)

Charcoal

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☐ Alone☐ With famil☐ With frien☐ With othe	ds / acquainta	nces						
	0	ral health status	s and	practi	ces			
14. How many natura No natura 1 - 9 natura 10 - 19 na 20 teeth o 15. During the past 12 Yes No Don't know No answer	I teeth ral teeth tural teeth r more 2 months, did y	your teeth or mo	outh c	ause a	any pain or d	iscomf	ort?	
					Yes			No
A partial denture?								
	A full upper denture?							
A full lower dent	ure?						<u> </u>	
17. How would you de "average", "poor"			and g	gums?	Is it "excelle	nt" <i>,</i> "v	ery goo	d", "good",
	Excellent	Very good	Go	od	Average	F	Poor	Very poor
a) Teeth]				
b) Gums]				
18. How often do you Never Once a mo 2–3 times Once a we 2–6 times Once a day Twice or m 19. Do you use any of	onth a month ek a week y nore a day		eth?					
					Yes	N	lo	
Toothbrush]	
Toothpick								

1	1.	1 1	1
-+/	Ι.	ь.	L



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	Chew	vstick/miswak		
	Othe	-		
	Pleas	e specify		
20.				
	a)	Do you use toothpaste to clean your teeth?		
		☐ Yes		
		□ No		
		☐ Don't know		
	b)	If you answered previous question with "Yes'	<u>′</u> : Do you use a too	thpaste that contains
		fluoride?		
		☐ Yes		
		□ No		
		☐ Don't know		
21.	How lo	ong is it since you last saw a dentist?		
		Less than 6 months ago		
		6–12 months ago		
		More than 1 but less than 2 years ago		
		2 or more years ago but less than 5 years ago)	
		5 or more years ago		
		Never received dental care		
22.	What	was the reason of your last visit to the dentist?	?	
	•	an choose more than one option)		
		Consultation/advise		
		Pain or trouble with teeth, gums or mouth		
		Treatment/ follow-up treatment		
		Routine check-up		
		Don't know/don't remember		
		Never received dental care	_	
23.		ong is it since you last saw a dentist <u>in German</u>	λj	
		Less than 1 month ago		
		1–2 months ago		
		3–5 months ago		
		6 or more months ago		
2.4		I did not visit a dentist in Germany		
		was the reason of your last visit to the dentist	<u>in Germany</u> ?	
	•	an choose more than one option)		
		Consultation/advise		
		Pain or trouble with teeth, gums or mouth		
		Treatment/ follow-up treatment		
		Routine check-up		
		Don't know/don't remember		
25		I did not visit a dentist in Germany	sino?	
25.		ften did you normally go to the dentist in Ukra	<u>ınıe</u> f	
		Once a year		
		Twice a year		



☐ Four or more times a year
 ☐ Less than once a year
 ☐ Never received dental care
 ☐ Don't know/don't remember

problems during the past 12 months?

(Read each item)

	Very	Fairly	Some	Nev	Don'
	often	often	times	er	know
Difficulty in biting foods					
Difficulty chewing foods					
Difficulty with speech/trouble pronouncing words					
Dry mouth					
Felt embarrassed due to appearance of teeth					
Felt tense because of problems with teeth or mouth					
Have avoided smiling because of teeth					
Had sleep that is often interrupted					
Have taken days off work					
Difficulty doing usual activities					
Felt less tolerant of spouse or people who are close to					П
y ou		Ш]	
Have reduced participation in social activities					

26. Because of the state of your teeth or mouth, how often have you experienced any of the following

	Several times a day	Every day	Several times a week	Once a week	Several times a month	Seldom/never
Fresh fruit						
Biscuits, cakes, cream cakes						
Sweet pies, buns						
Jam or honey						
Chewing gum containing sugar						
Sweets/candy						
Lemonade, Coca Cola or other soft drinks						
Tea with sugar						
Coffee with sugar						

28. How often do you use any of the following types of tobacco? (Read each item)



day?

☐ Less than 1 drink

☐ 1 drink ☐ 2 drinks ☐ 3 drinks

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	Every day	Several times a week	Once a week	Several times a month	Seldom	Never
Cigarettes						
Cigars						
A pipe						
Chewing tobacco						
Use snuff						
Other						
Please specify		· 	·	· 	·	-

29. During the past 30 days, on the days you drank alcohol, how many drinks did you usually drink per

	☐ 4 drinks						
	☐ 5 or more drinks						
	☐ Did not drink alcohol du	iring the pa	st 30 days				
		Dent	al care acce	SS			
20	Based on your experience, how	, mush da +	hasa faatar	s in vaur anin	ian limit vaur		o dontist ir
	Germany?	illucii uo t	nese factors	s iii your opiii	ion illilit your	access t	o dentist ii
	Germany:						
		Strongly		Somewhat	Somewhat		Strongly
		disagree	Disagree	disagree	agree	Agree	agree
	Language barriers, such as						
	- I don't understand the						
	language						
	- I cannot explain what my						
	problem is						
	Financial barriers, such as						
	- I am afraid that I need to		_				
	pay too much						
	- I could not financially						
	afford to						
	Transport barriers - It was not possible for me						
	to physically reach the						
	facility						
	Availability in my region						
	Complicated health care						
	system						
	- I don't understand how the						
	(dental) health care system						
				1	1		



Problems with finding a dentist - I don't know how to find and register with a dentist - I don't know a good dentist			
Dental anxiety - I am afraid of the treatment or the dentist		_	
Trust issues - I don't trust the dentists here in Germany			
Cultural and religious beliefs - I don't go to the dentist because of my culture or religion			
Social/domestic barriers - Treatment/consultation is not possible for me because of my schedule - Someone needs to stay with my children			

Unmet needs for dental health services

31.	
	a) Was there any time in Germany when you needed to consult a dentist but did not?
	☐ Yes
	□ No
	☐ No need for examination or treatment
	b) If you answered previous question with "Yes": What was the reason?

	Yes	No
Language barriers		
- I don't understand the language		
- I cannot explain what my problem is		
Financial barriers		
- I am afraid that I need to pay too much		
- I could not financially afford to		
Transport barriers	П	П
- It was not possible for me to physically reach the facility		
Availability in my region		
Complicated health care system		
- I don't understand how the (dental) health care system		
works in Germany		
Problems with finding a dentist		
- I don't know how to find and register with a dentist		
- I don't know a good dentist		



Dental anxiety

	- I am afraid of the treatment or the dentist		
	Trust issues		
	- I don't trust the dentists here in Germany		
	Cultural and religious beliefs		
	- I don't go to the dentist because of my culture or		
	religion		
	Social/domestic barriers		
	- Treatment/consultation is not possible for me because		П
	of my schedule		ь
	- Someone needs to stay with my children		
	Other		
	Please specify		
22			
32.		ti	2
a)	•	e continued in G	ermany?
	(for example, orthodontic treatment) ☐ Yes		
	□ No		
	☐ I don't know		
b)		d a dentist and c	ontinue this
D)	treatment in Germany?	a a acritist and c	ontinue tinis
	☐ Yes		
	□ No		
	☐ I did not search a dentist		
33. How (do you rate the quality of dental care in Germany in general?		
	Very good		
	Good		
	Average		
	Poor		
	Very poor		
	Don't know		
	Stress measurement		

In this final part of the survey, you'll answer a few questions about your feelings and emotions.

34. Over the last 2 weeks, how often have you been bothered by any of the following problems?

	Not at all	Several days	More than half the days	Nearly every day
1. Little interest or pleasure in doing things				
2. Feeling down, depressed, or hopeless				
3. Trouble falling or staying asleep, or sleeping too much				
4. Feeling tired or having little energy				



5. Poor appetite or overeating								
6. Feeling bad about yourself — or that you								
are a failure or								
have let yourself o	r your family down							
7. Trouble concent	rating on things, such as	S				_		
reading the newsp	aper or watching televis	ion	Ц]				
8. Moving or speaking so slowly that other								
people could have	noticed? Or the opposit	:e						
 being so fidgety 	or restless that you hav	e e		ם				
been moving arou	nd a lot more than usual							
9. Thoughts that yo	ou would be better off							
dead or of hurting	yourself in some way]	ш	
If you checked off	any problems, how diff	icult	have these p	roblem	s ma	de it for you	to do your	
work, take care of	work, take care of things at home, or get along with other people?							
Not difficult at	Not difficult at Somewhat difficult Very difficult Extremely difficult				difficult			
all			Very difficult			Extremely difficult		
			Ц			Ц		

Anxiety measurement

35. Over the last 2 weeks, how often have you been bothered by any of the following problems?

	Not at all	Several days	More than half the days	Nearly every day
1. Feeling nervous, anxious or on edge				
2. Not being able to stop or control worrying				
3. Worrying too much about different things				
4. Trouble relaxing				
5. Being so restless that it is hard to sit still				
6. Becoming easily annoyed or irritable				
7. Feeling afraid as if something awful might happen				



Thank you for participating in this study!

Please send the questionnaire within two weeks back to:

E-Mail: maksym.ponomarenko@rwth-aachen.de

Postal address:

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If you want to participate in a prize draw leave your e-mail address below:	-mail address below:	
F-mail:		

Danksagung

Ich möchte mich herzlich bei meiner Doktormutter Frau Prof. Dr. med. Andrea Kaifie-Pechmann für die Zusammenentwicklung des Dissertationsthemas und für die kontinuierliche Hilfe während der Arbeit bedanken.

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Erklärung § 5 Abs. 1 zur Datenaufbewahrung

Hiermit erkläre ich, dass die dieser Dissertation zu Grunde liegenden Originaldaten in dem Institut für Arbeits-, Sozial- und Umweltmedizin des Universitätsklinikums Aachen hinterlegt sind.

Erklärung gemäß § 5 Abs. (1) und (2), und § 11 Abs. (3) 12. der Promotionsordnung

Hiermit erkläre ich, Maksym Ponomarenko, an Eides statt, dass ich den wesentlichen Anteil an der Publikation:

Ponomarenko, M., Kaifie, A.: Oral health, stress and barriers accessing dental health care among war-affected Ukrainian refugees in Germany; BMC Oral Health; 2023, 23, 804 geleistet habe.

Die Anteile an der Arbeit waren wie folgt:

möglich)

	Maksym Ponomarenko	Andrea Kaifie-Pechmann	Summe
			(%)
Studiendesign/Konzeption	70	30	100
Erstellung des Umfragebogens	100	0	100
Korrektur des Fragebogens	50	50	100
Datengewinnung	100	0	
Datenauswertung	100	0	100
Interpretation der	80	20	100
Datenauswertung			
Verfassung des Manuskripts	100	0	100
Korrektur des Manuskripts	50	50	100

er Autor bestätige ich die Angaben von Maksym
Unterschrift des korresp. Autors (falls abweichend)
Kaifie-Pechmann als Koautor an