



Deuteron identification via time of flight with LHCb

LHCb collaboration[†]

Abstract

It is shown that the timing capabilities of the LHCb detector operated during the LHC Run 2 can be used to identify light ion particles with momenta of a few GeV/ c . This is achieved by estimating the particle time of flight through a newly developed technique. A dedicated reconstruction procedure and a neural-network-based estimator of the particle speed have been developed to enable deuteron identification by suppressing the abundant background from lighter particles. The performance of the identification procedure is demonstrated in a sample of proton-helium collisions at $\sqrt{s_{NN}} = 110$ GeV, where the production of deuteron and triton particles is observed. This novel approach opens the way to study deuteron and antideuteron production for different collision systems at different energy scales, exploiting the rich dataset collected by the LHCb experiment.

Published in The European Physical Journal C 85 (2025) 1329

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1 Introduction

The measurement of antimatter in cosmic rays is a sensitive probe of physics beyond the Standard Model, as dark matter annihilation or decay could contribute to the flux of cosmic antiparticles [1]. The AMS-02 experiment [2] has reported several antideuteron and antihelium candidates whose origin is still unclear [3]. These particles could be produced in collisions between cosmic rays and the interstellar medium via coalescence of secondary antibaryons. While measurements of the related production cross sections have been performed at RHIC and LHC hadron colliders for a nucleon-nucleon centre-of-mass energy $\sqrt{s_{\text{NN}}}$ well above 100 GeV, very limited data exist for the energy scale relevant to the AMS-02 observations, namely $\sqrt{s_{\text{NN}}}$ between 10 and 100 GeV.

The LHCb detector [4, 5] is a single-arm forward spectrometer covering the pseudorapidity range $2 < \eta < 5$, designed for the study of heavy-flavour particles in proton-proton (pp) collisions. During the LHC Run 2, it was also operated with $p\text{Pb}$ and PbPb collisions. Production studies in beam-beam collisions at the LHCb experiment can complement those from the other LHC detectors, which mostly cover central rapidity. Moreover, thanks to the SMOG gas target [6], the LHCb experiment also collected a rich dataset of fixed-target collisions of proton and lead beams on He, Ne and Ar targets, at $\sqrt{s_{\text{NN}}}$ between 68 and 110 GeV [7].

The detector includes two ring-imaging Cherenkov (RICH) detectors that are able to discriminate between different species of charged hadrons, while leptons, photons and neutral hadrons are identified by a calorimeter system and a muon system consisting of five stations. Though light ion identification was not among the requirements of the detector design, the AMS-02 observation motivated an effort to identify light nuclei from the available detector information. In particular, a recent study has demonstrated that helium nuclei can be identified via their characteristic energy loss in the tracking detectors [8]. This recent development opens a promising avenue for light ion identification at LHCb, despite not being part of the initial design goals.

Active deuteron¹ identification in the RICH detectors is possible only for momenta above 35 GeV/ c and is limited by the abundant pion background [9]. In this paper, the identification of low-momentum deuteron particles through a time-of-flight measurement is studied.

The LHCb Outer Tracker (OT) [10] consists of 12 double layers of straw tubes, arranged in three stations placed downstream of the spectrometer's magnet, at a distance between 7.9 m and 9.4 m from the nominal beam-beam collision point. Its large area covers the outer region of the spectrometer's acceptance, corresponding to particles of lower rapidity and momentum. For the LHC Run 2, the detector was precisely recalibrated, reaching an average resolution on the drift time of 2.4 ns per hit [11]. For an average number of 18 OT hits per track, the resolution for the time-of-flight measurement is therefore 0.57 ns, allowing, in principle, to distinguish the proton and deuteron hypotheses by more than 3 standard deviations for momenta below 4.6 GeV/ c . The first muon station (M1), located between the OT and the calorimeters, detects charged particles with an efficiency above 98.5% and provides an additional independent measurement of the particle time of flight with a resolution below 4 ns [12]. The timing capabilities of the OT and of M1 detectors form the basis of the technique presented in this paper.

¹The inclusion of charge-conjugated particles is implied in the following, unless otherwise stated.

The paper is organised as follows: a dedicated track reconstruction procedure in the OT, needed to efficiently reconstruct the deuteron candidates, is presented in Section 2. The strategy used to determine the particle velocity and suppress the background to deuteron identification is discussed in Section 3. In Section 4, the achieved performance of the identification procedure is presented on a sample of p He collisions collected at $\sqrt{s_{\text{NN}}} = 110$ GeV. Finally, in Section 5 the conclusions summarizing the performance and general applicability of the proposed method are presented.

2 Deuteron reconstruction

In the standard track reconstruction procedure used in the LHCb experiment (see Ref. [13] for a detailed description), candidates for particles produced at the primary collision and crossing the LHCb spectrometer are obtained through a pattern recognition algorithm known as Forward Tracking [14]. The algorithm extrapolates track segments reconstructed in the vertex detector and searches for detector hits in the other tracking stations. Hits in the OT are obtained by combining the known wire position of each OT straw tube with the measured drift time of the signals. The drift times are determined after taking into account the particle time of flight according to its trajectory from the nominal beam-beam collision point to the hit position, assuming that the particle travels at the speed of light c . For particles travelling at lower speed ($\beta = v/c < 1$), the delay of the particle arrival at the OT with respect to the ultrarelativistic hypothesis biases the reconstructed drift times. It has been shown in Ref. [11] that the average time residual of OT hits with respect to the fitted track provides some discrimination power between protons and pions. The overestimation of the drift time for particles with $\beta \ll 1$ produces a bias to their reconstructed positions in the OT. Moreover, in the standard reconstruction, late OT hits are discarded if they are compatible with being produced by a collision following the acquired one by 25 ns, given the nominal 40 MHz collision rate. The quality of the reconstructed tracks for such “slow” particles is therefore degraded, possibly causing the track candidates to be rejected.

To evaluate this effect and benchmark an improved deuteron reconstruction, three simulation samples are produced. In the first sample, single deuteron particles with momentum between 1 and 15 GeV/ c are simulated in the detector. In the other samples, a more realistic simulation of p He collisions at $\sqrt{s_{\text{NN}}} = 110$ GeV is performed, requiring the presence of a deuteron in the final state. Collisions are generated using two different models, QGSJETII04 [15] or PYTHIA 6.4 [16], providing different predictions for the baryon production. Only the former includes baryon production through fragmentation of the target He nucleus. Deuteron production via coalescence of produced baryons is not included in either model and is simulated through an additional custom algorithm, similar to that described in Ref. [17]. The interaction of the generated particles with the detector, and its response, are implemented using the GEANT4 toolkit [18] as described in Ref. [19].

The efficiency of the standard LHCb pattern recognition algorithm for different hadron species in simulated events is shown in Fig. 1. It is computed for particles in the detector acceptance that produce enough detector hits to be possibly reconstructed. The efficiency for deuterons is strongly degraded for momenta below 3 GeV/ c , namely in the most promising kinematic region for deuteron identification via time of flight.

A modified version of the pattern recognition algorithm, named ToffForward hereafter,

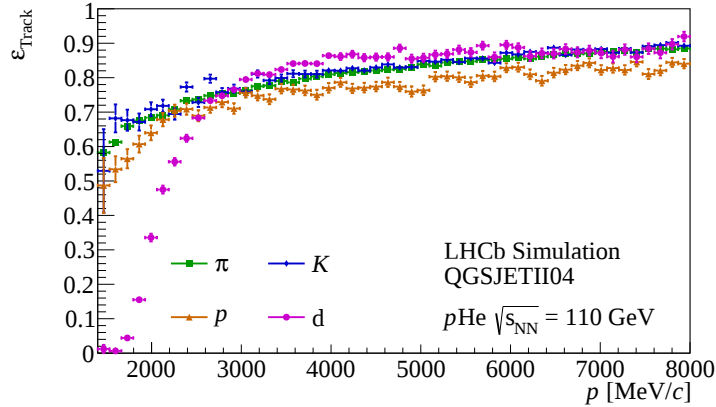


Figure 1: Track reconstruction efficiency $\varepsilon_{\text{Track}}$ of the standard pattern recognition algorithm as a function of the momentum p for different hadron species in the QGSJET-based simulation sample.

is developed to recover the slow deuteron candidates. All recorded OT hits are considered, including late signals that could be produced by low-momentum deuterons. In an initial step, the Forward Tracking algorithm is performed without using the drift-time information, reconstructing the hit positions from the centre of the straw tubes with an uncertainty equal to their radius. This selects candidates that can be reconstructed independently of the assumed particle speed and provides a first estimation of the particle momentum. The drift-time information is then added and the Forward Tracking is repeated for different hypotheses on the particle velocity. Explored values of β range from 1 to the value corresponding to a ${}^4\text{He}$ nucleus with momentum equal to the initial estimate, in steps of 0.05. The latter value is chosen as a compromise between the highest tracking efficiency and the minimum computing time. The track candidate is obtained from a fit minimising the χ^2 of the spatial residuals of the hits associated with the track and the β value corresponding to the minimum fit χ^2 is assigned to the candidate. The quality requirements on the candidates are simplified by omitting the neural-network-based selection [20], which is used in the standard procedure and optimised for high-momentum particles.

The tracking efficiency obtained with the ToffForward algorithm is compared to the standard reconstruction in Fig. 2. A significant improvement is achieved for deuterons (protons) with momenta below 4 GeV/ c (2 GeV/ c). The momentum resolution, being dominated at low momentum by multiple scattering, is found to be comparable between the two algorithms, see Fig. 3. To verify that the looser selection is not affecting the quality of the reconstructed track sample, the rate of so-called ghost tracks, namely candidates built combining hits from different particles, as a function of the event multiplicity, measured as the number of reconstructed tracks (nTracks) in the event, is also compared in Fig. 3. No significant difference is observed between the two algorithms.

3 Measurement of the particle speed

In the LHCb track reconstruction procedure, the track candidates obtained from the pattern recognition algorithm are refitted using an algorithm based on a Kalman filter [21]

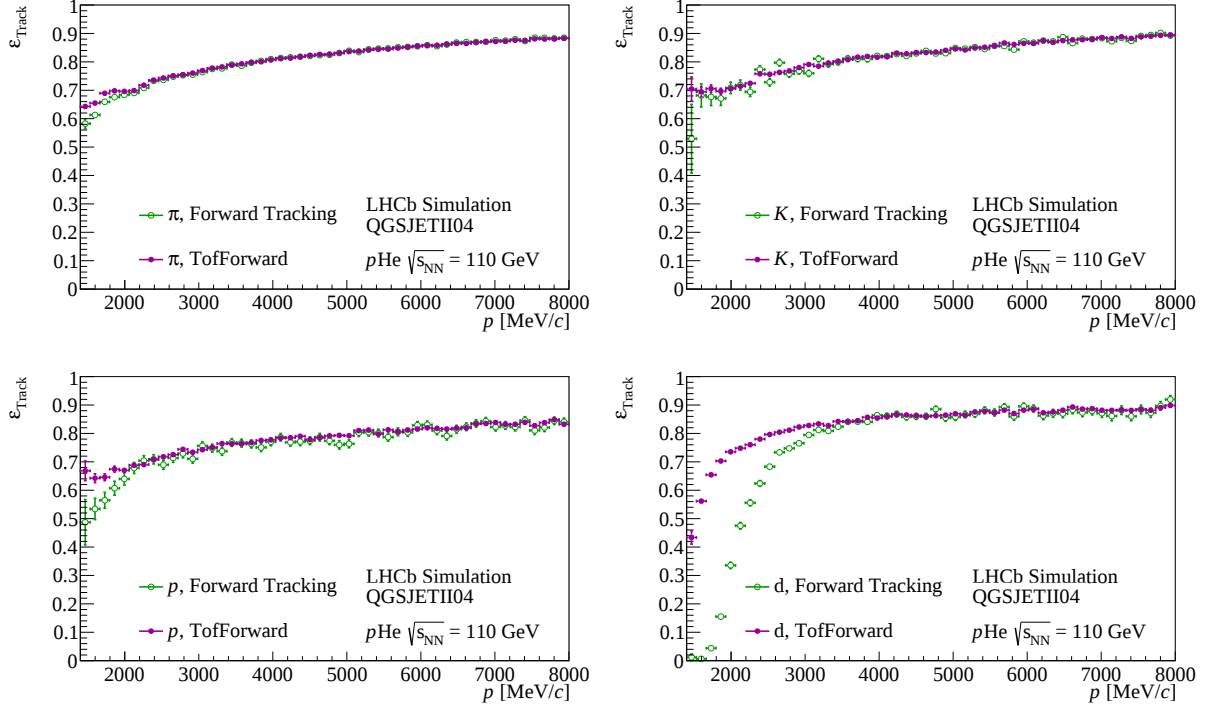


Figure 2: Track reconstruction efficiency as a function of the momentum for (top left) pions, (top right) kaons, (bottom left) protons and (bottom right) deuterons; the performance of (empty markers) the standard Forward Tracking algorithm and of (full markers) the TofForward algorithm is compared.

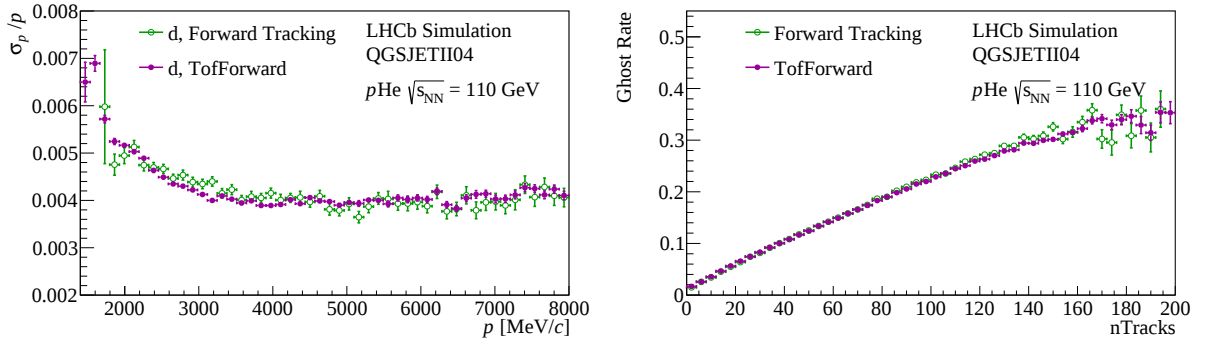


Figure 3: (Left) Momentum resolution as a function of the momentum for deuterons in the QGSJET-based simulation sample for (empty markers) the standard Forward Tracking algorithm and (full markers) the TofForward algorithm. (Right) Average number of ghost tracks as a function of the reconstructed track multiplicity is also compared.

to achieve a refined determination of the particle kinematics by taking into account multiple scattering and energy loss effects.

This algorithm is extended to be able to estimate β , using the simulated sample of standalone deuterons as a testbench. A given β value is tested by running the Kalman filter after correcting the OT reconstructed drift times for the expected particle time of flight along its reconstructed trajectory, assuming that the particle is produced at the position of closest approach to the nominal beam line. The β estimation is obtained by minimising the track χ^2 of the Kalman filter plus the normalised residual of the time measurement in the first muon station M1,

$$\chi_\beta^2(\beta) = \chi_{\text{Kalman}}^2(\beta) + \left[\frac{t_{\text{M1}} - t_{\text{M1,exp}}(\beta)}{\sigma_{\text{M1}}} \right]^2, \quad (1)$$

where t_{M1} is the observed time in M1 and $t_{\text{M1,exp}}$ its expected value for a given β hypothesis; $\sigma_{\text{M1}} = 4$ ns is the M1 time resolution.

Track fits with different values of β are performed with a fixed number of detector hits, starting from those associated to the track by the TofForward algorithm. The drift-time information is always used, even when the reconstructed value is apparently above its physically allowed maximum value of 35 ns. These two prescriptions are needed to obtain a well-behaved β dependence of χ_β^2 , with a profile that approximates a parabola in the region surrounding the minimum. To speed up the algorithm, the minimum of χ_β^2 is found with a gradient descent algorithm, starting from $\beta = 1$ and a negative step of 0.01. The sampled β values are limited between 1.1 and the value corresponding to a mass of 4 GeV/ c^2 for the measured particle momentum. The values around the minimum are fitted with a second-order polynomial to obtain the estimated value $\hat{\beta}$ and its uncertainty $\sigma(\hat{\beta})$. To reduce biases from hits that are incorrectly assigned to the track, the $\hat{\beta}$ determination is updated if some hits in the track fit for $\beta = \hat{\beta}$ exhibit a residual larger than three standard deviations. The procedure is repeated up to four times, removing up to two outlier hits on every iteration.

Figure 4 shows the performance for simulated single deuterons: $\sigma(\hat{\beta})$ is typically below 0.02 for $p < 3$ GeV/ c ($\beta < 0.73$) and the bias on the β determination, defined as the difference between the estimated value $\hat{\beta}$ and the true value β_{true} , is well below its average uncertainty. Results for the β measurements on different hadron species in the PYTHIA-based simulation are shown in Fig. 5.

While the simulated collision samples are enriched with deuterons, the antideuteron/antiproton production ratio for $p < 5$ GeV/ c in fixed-target collisions at the LHCb experiment is expected to be between 10^{-4} and 10^{-3} [22]. The isolation of a deuteron sample requires more discriminating power against lighter hadrons than the one achievable with the $\hat{\beta}$ estimator alone. This is illustrated in Fig. 6, showing the distribution of the estimated particle mass from the time-of-flight and momentum measurements

$$\hat{M}_{\text{TOF}} \equiv \frac{p}{c} \sqrt{\frac{1}{\min(\hat{\beta}, 1)^2} - 1}, \quad (2)$$

for the expected deuteron signal and for lighter particles. A significant background to deuteron identification is expected from cases where β is underestimated by an amount far beyond its estimated uncertainty.

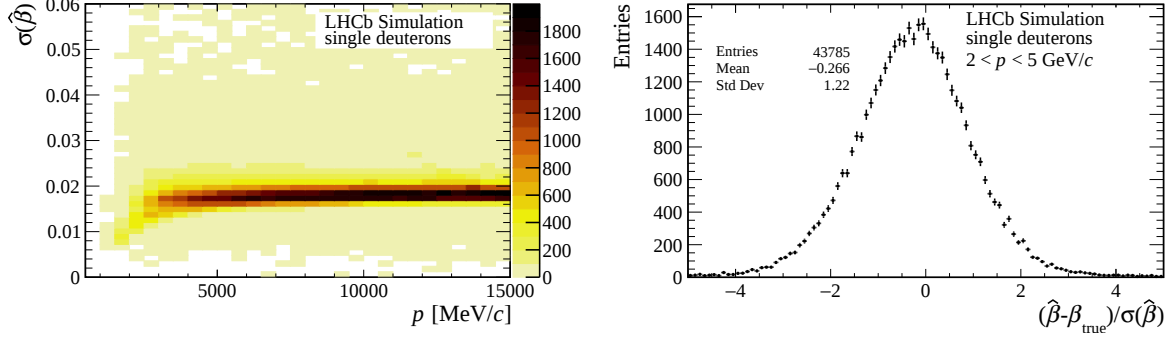


Figure 4: Performance of the β determination on the simulated sample of single deuteron tracks: (left) estimated uncertainty $\sigma(\hat{\beta})$ as a function of momentum; (right) normalised residuals for low-momentum particles.

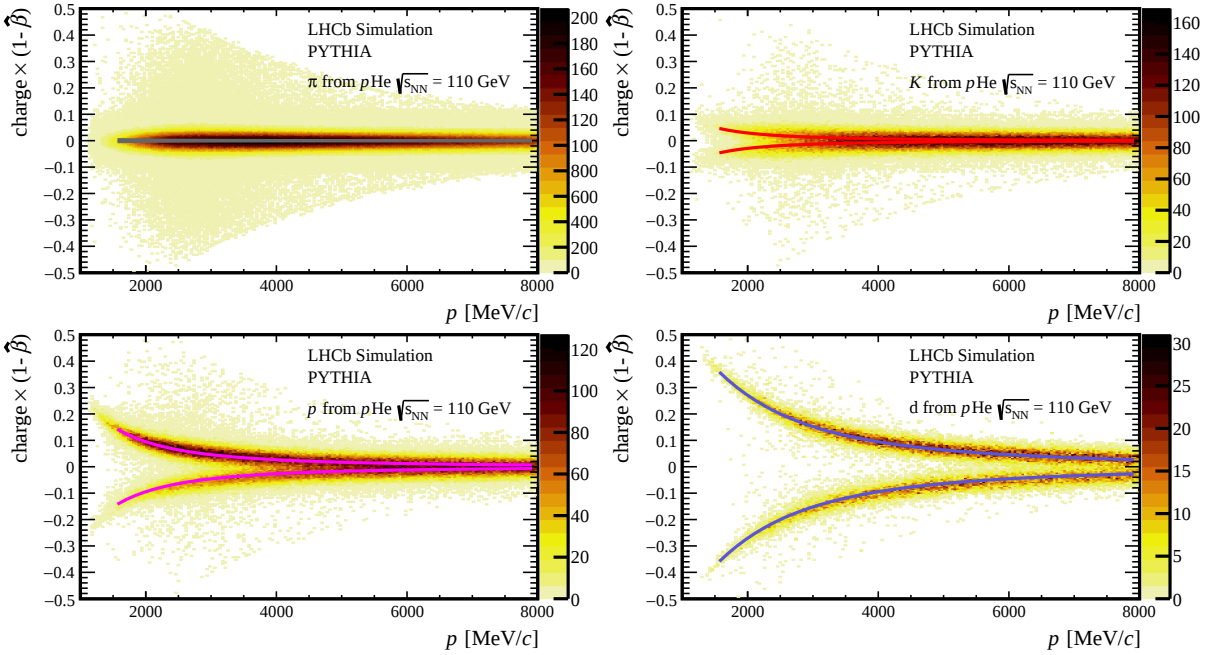


Figure 5: Difference with respect to 1 of $\hat{\beta}$, multiplied by the particle charge, as a function of the reconstructed momentum for four hadron species in the PYTHIA-based simulated sample: (top left) pions, (top right) kaons, (bottom left) protons and (bottom right) deuterons. The overlaid curves show the expected relations.

This background is studied in simulation by selecting those hadrons among pions, kaons, and protons whose $\hat{M}_{\text{TOF}}$ value is compatible with the deuteron mass within $400 \text{ MeV}/c^2$, called fake deuterons in the following. Several observables related to the quality of the track fit and β determination are considered in order to identify fake deuterons and improve the β estimation. Observables that are found to provide significant discrimination between fake and real deuterons of equal momentum include the uncertainty $\sigma(\hat{\beta})$, shown in Fig. 7a, the number of OT hits, the quality of the reconstructed track (track χ^2 , average and spread of residuals in the OT as in Fig. 7b, variation of the track χ^2 and of the number of outliers between the track fits with $\beta = 1$ and $\beta = \hat{\beta}$), the maximum difference in χ^2_β among four hadron hypotheses (π , K , p , d) in Fig. 7c, and the distribution of

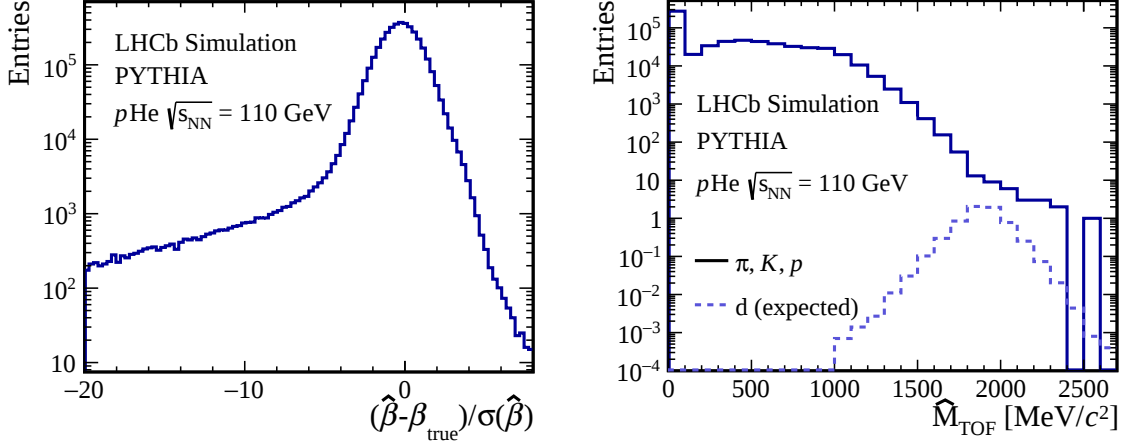


Figure 6: (Left) Residual of the β estimation normalised by its estimated uncertainty for simulated positively charged particles with momentum below 4 GeV/c. (Right) Distribution of the corresponding estimated mass $\hat{M}_{\text{TOF}}$, separately for deuterons and lighter particles, assuming that a deuteron is produced approximately one out of every 10^4 collisions.

the reconstructed drift radius r_d in the OT straw tubes, namely the distance from the straw tube wire corresponding to the reconstructed drift time. The r_d observable is particularly important since for light hadrons with underestimated β , the reconstructed drift times are underestimated, while a flat distribution of the drift radius between 0 and its maximum value of 2.5 mm is expected for properly reconstructed tracks. This is exploited by computing four observables from the OT hits associated to each track candidate: the average and r.m.s. spread of drift radii in Fig. 7d, the fraction of r_d values lower than 0.2 mm in the distribution of Fig. 7e and the result of a Kolmogorov–Smirnov test [23] of the r_d values against a uniform distribution between 0 and 2.5 mm in Fig. 7f.

A total of 13 variables, including the particle momentum, are fed into an artificial neural network (ANN), trained on simulated collisions to predict the residual $\Delta\beta = \hat{\beta} - \beta_{\text{true}}$, where β_{true} is the true value from simulation. To avoid that any requirement on the network output could bias the particle identification by producing fake peaks in the $\hat{M}_{\text{TOF}}$ distribution, no input is provided about the particle type and its true or measured speed. The goal is to obtain an assessment of the accuracy of the β estimation from observables related to the quality of the fit without prior knowledge of the estimated β value.

The network, implemented using KERAS [24] with TENSORFLOW [25] backend, consists of two tanh-activated hidden layers with 32 neurons each and is trained on 60% of the simulated collision sample. The performance of the resulting predictor $\Delta\beta_{\text{ANN}}$ is illustrated in Fig. 8 in the remaining 40% of simulated collisions. The results show that the predictor identifies most of the cases where β is badly underestimated, so that fake deuterons can be suppressed by requiring a small value of $|\Delta\beta_{\text{ANN}}|$. Moreover, it shows a good correlation with the actual residual even in the bulk of the distribution, allowing the β estimator to be refined as

$$\hat{\beta}_{\text{ANN}} \equiv \hat{\beta} - \Delta\beta_{\text{ANN}}. \quad (3)$$

The resulting improvement of the β estimation is illustrated in Fig. 9.

4 Performance

The performance obtained in simulation is verified on a sample of $p\text{He}$ collisions at $\sqrt{s_{\text{NN}}} = 110 \text{ GeV}$, collected in 2016 with loose online selection requirements. The sample consists of about 700 million events, corresponding to an integrated luminosity of 0.5 nb^{-1} [26].

The β determination procedure is first verified on identified pion and proton tracks from $K_S^0 \rightarrow \pi^+\pi^-$ and $\Lambda \rightarrow p\pi^-$ decays, for which the identity of the particles can be determined from the decay kinematics [27]. Candidates are selected based on their incompatibility with originating from the primary collision vertex, the reconstructed mass of the decaying resonance, and the quality of the reconstructed decay vertex. The purity of these calibration samples exceeds 98%. The same exercise is performed on the simulated sample and the distributions of the observables used in this study are shown in Fig. 10 to be in a good overall agreement with data. The largest difference that can be noticed is a larger average value of $\sigma(\hat{\beta})$ in data. Comparing the $\hat{\beta}$ estimation with the expected β

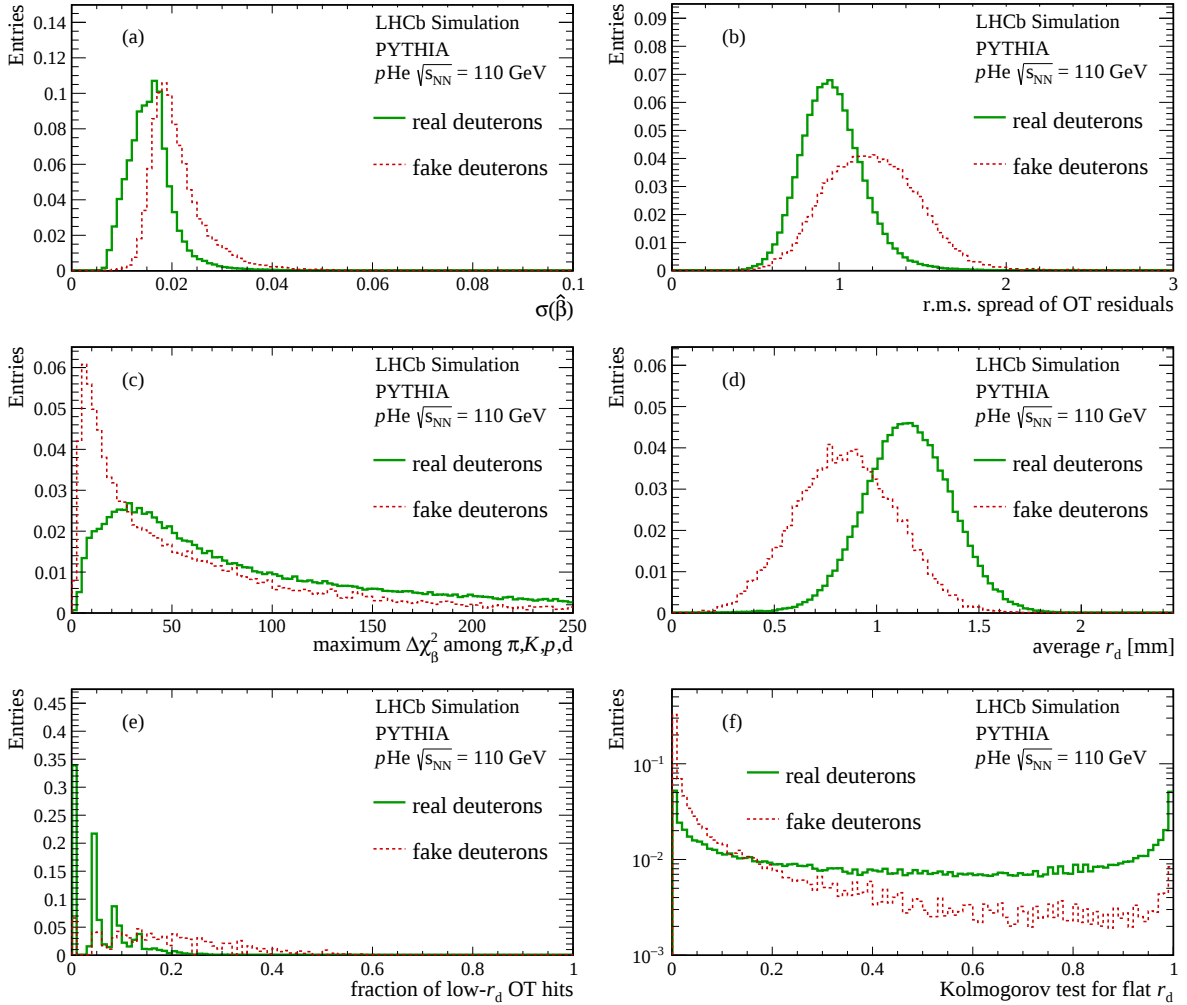


Figure 7: Distributions in simulation of some of the observables used to discriminate genuine deuterons from lighter particles with an $\hat{M}_{\text{TOF}}$ value compatible with the deuteron mass (fake deuterons), for tracks with momentum lower than $3 \text{ GeV}/c$.

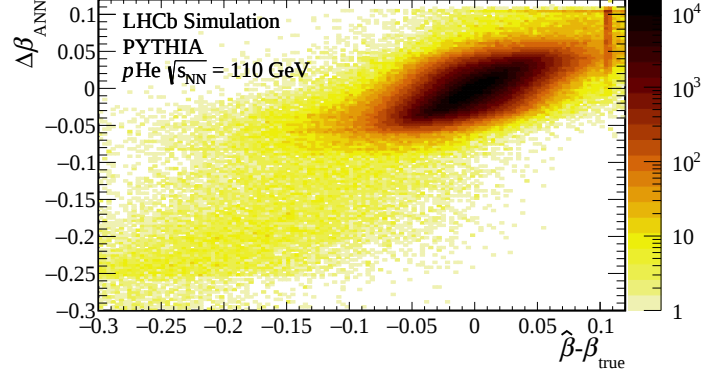


Figure 8: Performance of the $\Delta\beta_{\text{ANN}}$ predictor on simulated collisions. The response is shown for all track candidates with momentum lower than 5 GeV/c. The structure at $\Delta\beta = 0.1$ is an artifact from the limit $\beta < 1.1$ on the sampled values.

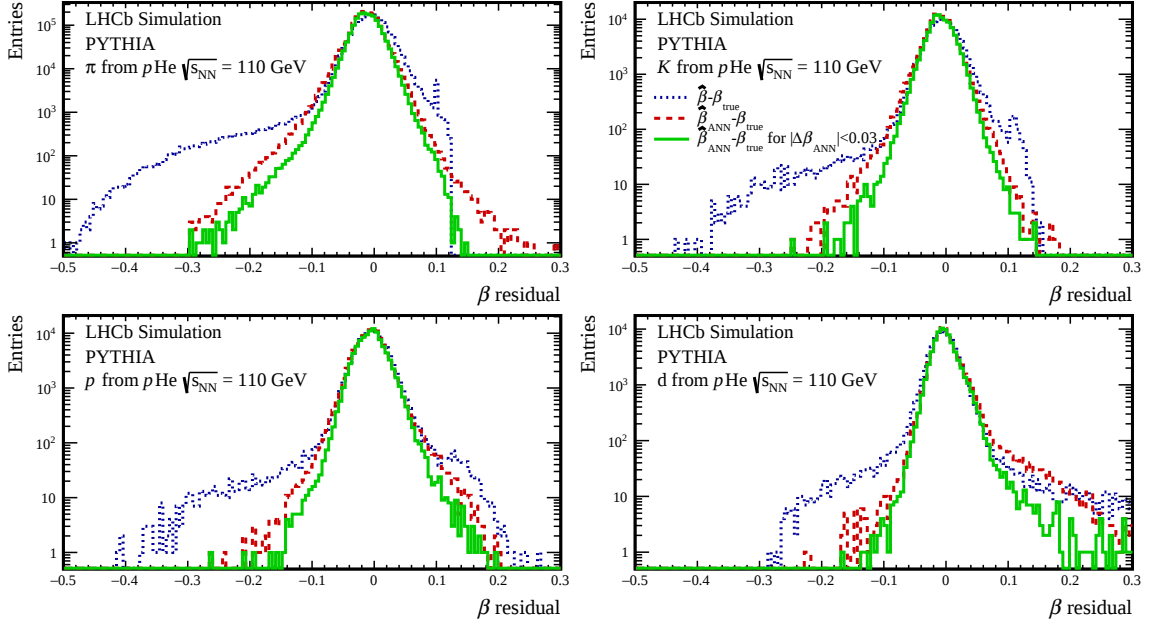


Figure 9: Impact of the use of the $\Delta\beta_{\text{ANN}}$ predictor on the accuracy of the β determination for different hadron species in simulated collisions, for tracks with momentum lower than 5 GeV/c.

from the measured momentum and the known mass of the identified pion or proton, a negative bias is seen, of order 0.01 and larger than the one observed in simulation. Both effects, the differences in $\hat{\beta}$ and $\sigma(\hat{\beta})$ values, are compatible with a residual miscalibration of the OT response of $\mathcal{O}(0.1)$ ns. The bias on $\hat{\beta}$ is corrected by parametrising it with an empirical function of p and $\hat{\beta}$ tuned on the calibration sample.

Two $\Delta\beta_{\text{ANN}}$ predictor networks are retrained making use of the calibration data. The first is directly trained on the calibration tracks, using 80% of the sample. The second network is trained on the simulated collisions after correcting for the observed differences in the distribution of $\sigma(\hat{\beta})$ and other five input variables, as shown in Fig. 10. The $\sigma(\hat{\beta})$ value is scaled by a factor $(1 + k\hat{\beta}^2)$, where $k = 0.22$ is obtained from the calibration pion sample and the β dependence from a miscalibration effect is assumed. For the other

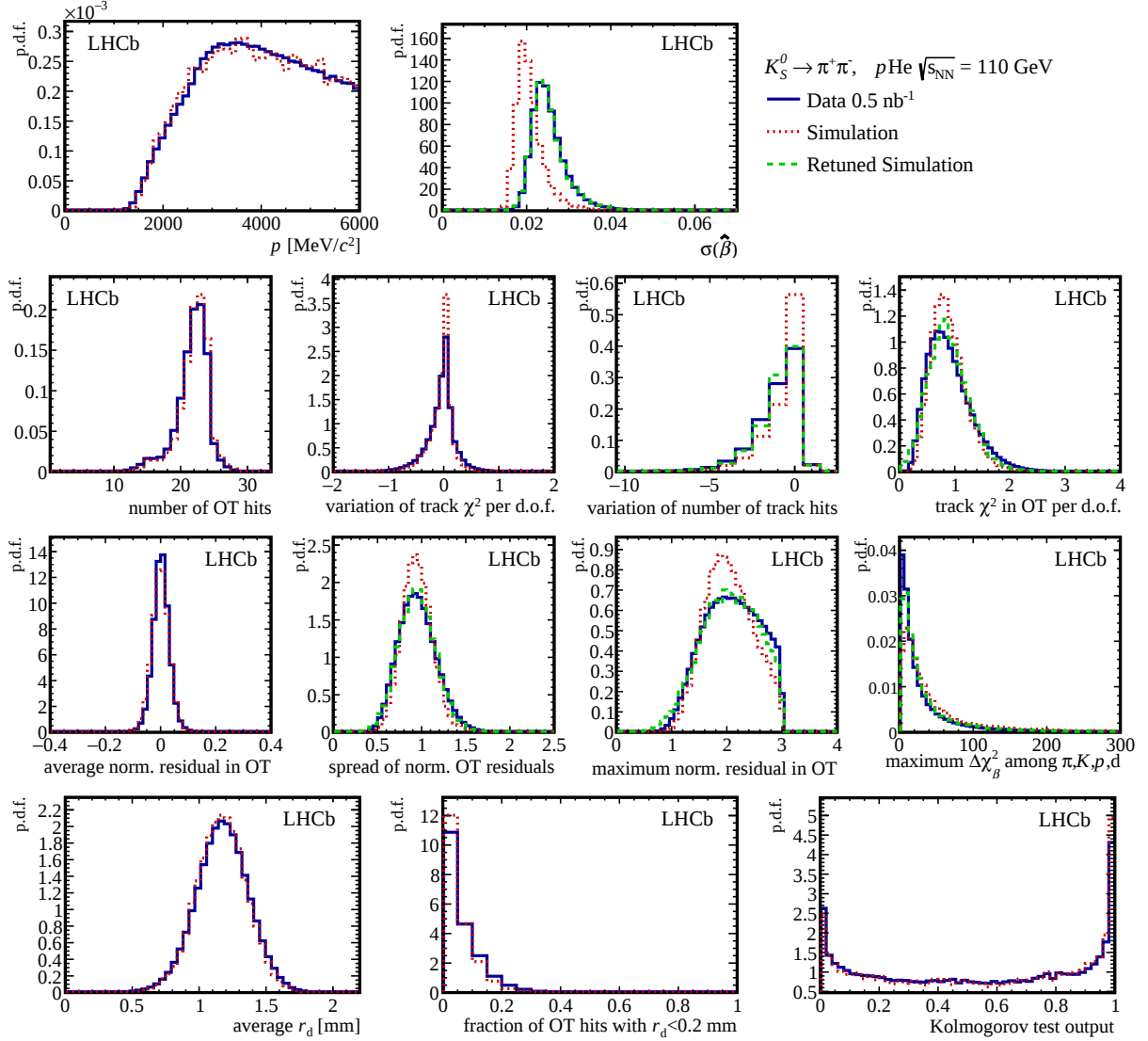


Figure 10: Distributions of the 13 observables, described in Sec. 3, used as input to the $\Delta\beta_{\text{ANN}}$ predictor network. Data and simulation are compared for candidate pion tracks from K_S^0 decays with momentum below $6 \text{ GeV}/c$. For six variables, notably $\sigma(\hat{\beta})$, an empirical correction is applied to the simulated observables to improve the agreement with data.

variables, the correction consists of adding a Gaussian-smeared value to reproduce the average and r.m.s. spread of the distribution observed in the calibration data.

Figure 11 presents a comparison of the performance of the two networks on the 20% of the calibration data that was not used during training. Though the data-driven predictor has slightly better performance, the network trained on simulation identifies correctly most of the fake deuterons in the calibration sample and is preferred in the following to avoid any overtraining in data. Moreover, its training includes deuterons, which are the main goal of this study and for which no data calibration sample is available.

The β determination is finally performed on the whole sample of reconstructed low-momentum tracks ($p < 6 \text{ GeV}/c$) with loose preselection requirements (at least 6 OT hits and $\sigma(\hat{\beta}) < 0.1$). Figure 12 shows the reconstructed mass values in different momentum intervals for positively charged particles, using the $\hat{\beta}$ or $\hat{\beta}_{\text{ANN}}$ estimators and with or

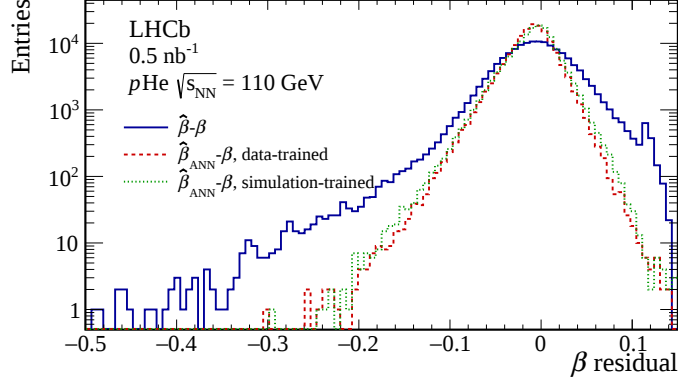


Figure 11: Performance of the $\Delta\beta_{\text{ANN}}$ predictor on the calibration sample, for momenta lower than 5 GeV/c. The plot shows the difference between the β estimation and the expected value $\beta = p/\sqrt{p^2 + (Mc)^2}$, obtained from the measured momentum and the known mass M of the identified pion or proton. The comparison is performed on the 20% of calibration data not used for the training.

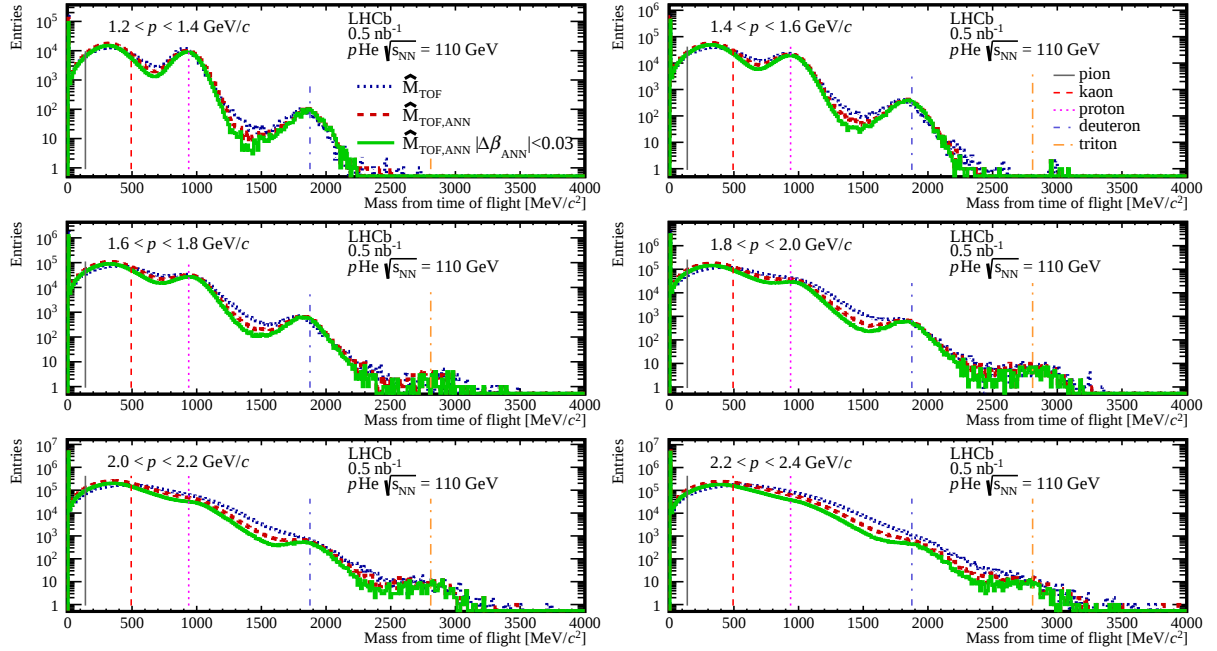


Figure 12: Estimated particle mass from the time of flight for reconstructed tracks of positively charged particles in the $p\text{He}$ collision sample, for different momentum intervals. $\hat{M}_{\text{TOF,ANN}}$ is obtained by replacing $\hat{\beta}$ with $\hat{\beta}_{\text{ANN}}$ in Eq. 2. The vertical lines correspond to the known mass of pions, kaons, protons, deuterons and tritons.

without an additional requirement on the $\Delta\beta_{\text{ANN}}$ predictor. A clear deuteron signal is visible for $p < 2.5$ GeV/c, and an excess of tracks in the region of the triton mass is also observed. These ion candidates are expected to be mostly produced by the fragmentation of the helium target and are clearly visible only in the sample with reconstructed tracks of positively charged particles.

As expected from the studies on simulation, the neural-network-based predictor

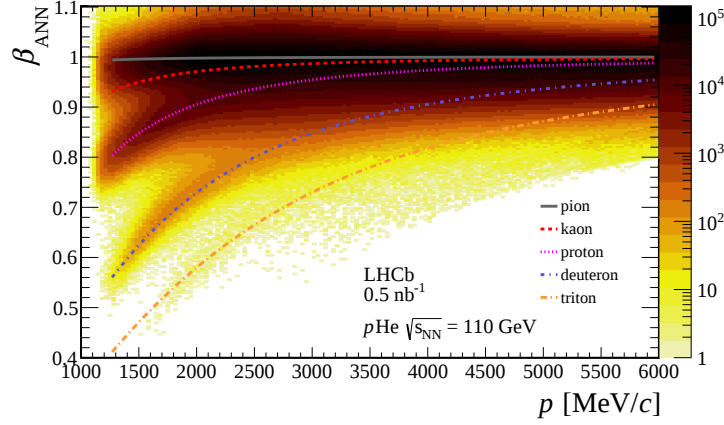


Figure 13: Estimated speed versus momentum for reconstructed tracks of positively charged particles in the $p\text{He}$ collision sample, using the $\hat{\beta}_{\text{ANN}}$ estimator and requiring $|\Delta\beta_{\text{ANN}}| < 0.03$. The overlaid curves show the expected relations for different hadron species. Proton, deuteron and triton production can be seen. The momentum-dependent cutoff at low β corresponds to the maximum mass hypothesis allowed in the algorithm.

$\hat{\beta}_{\text{ANN}}$ provides better discrimination among particle species than $\hat{\beta}$, and the deuteron identification is further improved by the $\Delta\beta_{\text{ANN}}$ requirement. The distribution of $\hat{\beta}_{\text{ANN}}$ as a function of momentum for tracks of positively charged particles with $|\Delta\beta_{\text{ANN}}| < 0.03$ is shown in Fig. 13.

A few He ion candidates are also identified in the $p\text{He}$ sample, thanks to their ionisation losses in the Si-based tracking detectors [8]. The OT timing response for particles with atomic number $Z = 2$ (or larger) is biased toward shorter drift times, due to their higher ionisation with respect to $Z = 1$ particles. In the explored low-momentum region, the biased reconstructed speed roughly corresponds to that expected for protons. Therefore, the time-of-flight technique is not suitable by itself to discriminate low-momentum He nuclei from lighter particles, though it could provide some discrimination between ^3He and ^4He candidates. For He nuclei with momentum larger than 10 GeV/ c , the measured time of flight in the OT is apparently shorter than that expected for $\beta = 1$, a feature that is already exploited in the He identification tool described in Ref. [8].

5 Conclusions

In this paper, a newly developed identification tool for light ions is presented, exploiting the available timing information of the LHCb detector operated in the LHC Run 2 to distinguish low-momentum deuterons from lighter hadrons by their time of flight. The tool performance is demonstrated by showing its capability to identify a signal for deuteron and triton production in $p\text{He}$ collisions at $\sqrt{s_{\text{NN}}} = 110$ GeV.

The benchmark sample has been chosen as it reproduces collisions of cosmic rays on the interstellar medium at the energy scale corresponding to the capabilities of the AMS-02 spectrometer. Though this time-of-flight technique in the LHCb detector can be exploited only in a limited kinematic region, it opens the way to unique and much awaited measurements of light antinuclei production at this energy scale, constraining the expected flux of secondary cosmic antideuterons.

Acknowledgements

We express our gratitude to our colleagues in the CERN accelerator departments for the excellent performance of the LHC. We thank the technical and administrative staff at the LHCb institutes. We acknowledge support from CERN and from the national agencies: ARC (Australia); CAPES, CNPq, FAPERJ and FINEP (Brazil); MOST and NSFC (China); CNRS/IN2P3 (France); BMBF, DFG and MPG (Germany); INFN (Italy); NWO (Netherlands); MNiSW and NCN (Poland); MCID/IFA (Romania); MICIU and AEI (Spain); SNSF and SER (Switzerland); NASU (Ukraine); STFC (United Kingdom); DOE NP and NSF (USA). We acknowledge the computing resources that are provided by ARDC (Australia), CBPF (Brazil), CERN, IHEP and LZU (China), IN2P3 (France), KIT and DESY (Germany), INFN (Italy), SURF (Netherlands), Polish WLCG (Poland), IFIN-HH (Romania), PIC (Spain), CSCS (Switzerland), and GridPP (United Kingdom). We are indebted to the communities behind the multiple open-source software packages on which we depend. Individual groups or members have received support from Key Research Program of Frontier Sciences of CAS, CAS PIFI, CAS CCEPP, Fundamental Research Funds for the Central Universities, and Sci. & Tech. Program of Guangzhou (China); Minciencias (Colombia); EPLANET, Marie Skłodowska-Curie Actions, ERC and NextGenerationEU (European Union); A*MIDEX, ANR, IPhU and Labex P2IO, and Région Auvergne-Rhône-Alpes (France); Alexander-von-Humboldt Foundation (Germany); ICSC (Italy); Severo Ochoa and María de Maeztu Units of Excellence, GVA, XuntaGal, GENCAT, InTalent-Inditex and Prog. Atracción Talento CM (Spain); SRC (Sweden); the Leverhulme Trust, the Royal Society and UKRI (United Kingdom).

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