

## ON THE SIGNED (TOTAL) $k$ -INDEPENDENCE NUMBER IN GRAPHS

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### Abstract

Let  $G$  be a graph. A function  $f : V(G) \rightarrow \{-1, 1\}$  is a signed  $k$ -independence function if the sum of its function values over any closed neighborhood is at most  $k - 1$ , where  $k \geq 2$ . The signed  $k$ -independence number of  $G$  is the maximum weight of a signed  $k$ -independence function of  $G$ . Similarly, the signed total  $k$ -independence number of  $G$  is the maximum weight of a signed total  $k$ -independence function of  $G$ . In this paper, we present new bounds on these two parameters which improve some existing bounds.

**Keywords:** domination in graphs, signed  $k$ -independence, limited packing, tuple domination.

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## 1. INTRODUCTION

Throughout this paper, let  $G$  be a finite connected graph with vertex set  $V = V(G)$ , edge set  $E = E(G)$ , minimum degree  $\delta = \delta(G)$  and maximum degree  $\Delta = \Delta(G)$ . We use [12] for terminology and notation which are not defined here. For any vertex  $v \in V$ ,  $N(v) = \{u \in G \mid uv \in E(G)\}$  denotes the *open neighborhood* of  $v$  in  $G$ , and  $N[v] = N(v) \cup \{v\}$  denotes its *closed neighborhood*. A set  $S \subseteq V$  is a *dominating set* (*total dominating set*) in  $G$  if each vertex in  $V \setminus S$  (in  $V$ ) is adjacent to at least one vertex in  $S$ . The *domination number*  $\gamma(G)$  (*total domination number*  $\gamma_t(G)$ ) is the minimum cardinality of a dominating set (total dominating set) in  $G$ . A subset  $B \subseteq V(G)$  is a *packing set* (an *open packing set*) in  $G$  if for every distinct vertices  $u, v \in B$ ,  $N[u] \cap N[v] = \emptyset$  ( $N(u) \cap N(v) = \emptyset$ ). The *packing number* (*open packing number*)  $\rho(G)$  ( $\rho_o(G)$ ) is the maximum cardinality of a packing set (an open packing set) in  $G$ .

Harary and Haynes [4] introduced the concept of tuple domination as a generalization of domination in graphs. Let  $1 \leq k \leq \delta(G) + 1$ . A set  $D \subseteq V$  is a *k-tuple dominating set* in  $G$  if  $|N[v] \cap D| \geq k$ , for all  $v \in V(G)$ . The *k-tuple domination number*, denoted by  $\gamma_{\times k}(G)$ , is the minimum cardinality of a *k-tuple dominating set*. In fact, the authors of [4] showed that every graph  $G$  with  $\delta \geq k - 1$  has a *k-tuple dominating set* and hence a *k-tuple domination number*. It is easy to see that  $\gamma_{\times 1}(G) = \gamma(G)$ . This concept has been studied by several authors including [1, 2, 6]. A generalization of total domination titled *k-tuple total domination* (or *k-total domination*) was introduced by Kulli [5] as a subset  $S \subseteq V(G)$  such that  $|N(v) \cap S| \geq k$ , for all  $v \in V(G)$ , where  $1 \leq k \leq \delta(G)$ . The *k-tuple total domination number*, denoted by  $\gamma_{\times k, t}(G)$ , is the minimum cardinality of a *k-tuple total dominating set*. We note that  $\gamma_{\times 1, t}(G) = \gamma_t(G)$ . For more information on various dominations the reader can consult [1].

Gallant *et al.* [2] introduced the concept of limited packing in graphs and exhibited some real-world applications in network security, market saturation and codes. A set of vertices  $B \subseteq V$  is called a *k-limited packing set* in  $G$  if  $|N[v] \cap B| \leq k$  for all  $v \in V$ , where  $k \geq 1$ . The *k-limited packing number*,  $L_k(G)$ , is the maximum number of vertices in a *k-limited packing set*. Replacing  $N[v]$  by  $N(v)$  in the definition of *k-limited packing*, one can define the *k-total limited packing set*. The *k-total limited packing number*,  $L_{k, t}(G)$ , is the maximum number of vertices in a *k-total limited packing* in  $G$  (see [7]). When  $k = 1$  we have  $L_1(G) = \rho(G)$  and  $L_{1, t}(G) = \rho_o(G)$ .

Volkman [8] introduced the concept of signed *k*-independence number in graphs. Let  $k \geq 2$  be an integer. A function  $f : V(G) \rightarrow \{-1, 1\}$  is a *signed k-independence function* (SkIF) if the sum of its function values over any closed neighborhood is at most  $k - 1$ . That is,  $f(N[v]) \leq k - 1$  for all  $v \in V(G)$ . The weight of a SkIF  $f$  is  $w(f) = f(V(G)) = \sum_{v \in V(G)} f(v)$ . The *signed k-*

*independence number* ( $SkIN$ ) of  $G$ , denoted  $\alpha_s^k(G)$ , is the maximum weight of a  $SkIF$  of  $G$ . If we replace  $N[v]$  with  $N(v)$  in the definition of  $SkIF$ , we will have a *signed total  $k$ -independence function* ( $STkIF$ ). The *signed total  $k$ -independence number* ( $STkIN$ ) of  $G$ , denoted  $\alpha_{st}^k(G)$ , is the maximum weight of a  $STkIF$  of  $G$ . This concept was introduced and studied in [9].

Throughout this paper, for a graph  $G$  of order  $n$  we assume that  $n \geq k$  ( $n \geq k + 1$ ), otherwise  $\alpha_s^k(G) = n$  ( $\alpha_{st}^k(G) = n$ ). Volkmann [8] showed that for every graph  $G$  of order  $n$ ,  $\alpha_s^k(G) = n$  if and only if  $\Delta(G) \leq k - 2$ . It is easy to see that  $\alpha_{st}^k(G) = n$  if and only if  $\Delta(G) \leq k - 1$  (see [9]). Hence, throughout this paper, we also assume that  $\Delta \geq k - 1$  ( $\Delta \geq k$ ) when we deal with the  $SkDN$  ( $STkDN$ ) of a graph  $G$ .

In this paper, we present some sharp upper and lower bounds for the parameters  $\alpha_s^k(G)$  and  $\alpha_{st}^k(G)$ , which improve and generalize some well-known bounds presented in [3, 8, 9, 10, 11].

## 2. UPPER BOUNDS

In this section, we present some sharp upper bounds on  $\alpha_s^k(G)$  and  $\alpha_{st}^k(G)$ . First, we introduce some notation. Let  $G$  be a graph and  $f : V(G) \rightarrow \{-1, 1\}$  be a  $SkIF$  ( $STkIF$ ) of  $G$ . We define

$$\begin{aligned} V^+ &= \{v \in V \mid f(v) = 1\}, n_+ = |V^+|, \\ V^- &= \{v \in V \mid f(v) = -1\}, n_- = |V^-|, \\ V^o &= \{v \in V \mid \deg(v) - k \equiv 1 \pmod{2}\}, \\ V^e &= \{v \in V \mid \deg(v) - k \equiv 0 \pmod{2}\}, \\ G^+ &= G[V^+] \text{ and } G^- = G[V^-]. \end{aligned}$$

Note that  $G[A]$  is the subgraph of  $G$  induced by  $A$ , for every  $A \subseteq V(G)$ . For convenience, let  $[V^+, V^-]$  be the set of edges having one end point in  $V^+$  and the other in  $V^-$ . Finally,  $\deg_{G^+}(v) = |N(v) \cap V^+|$  and  $\deg_{G^-}(v) = |N(v) \cap V^-|$ . We make use of the following observation to show that our bounds are sharp.

**Observation 1.** *Let  $k \geq 2$  be an integer. Then*

- (i)  $\alpha_s^k(K_n) = \begin{cases} k - 2 & n \equiv k \pmod{2}, \\ k - 1 & \text{otherwise,} \end{cases}$  (see [8]).
- (ii)  $\alpha_{st}^k(K_n) = \begin{cases} k - 2 & n \equiv k \pmod{2}, \\ k - 3 & \text{otherwise.} \end{cases}$
- (iii)  $\alpha_{st}^k(K_{p,p}) = \begin{cases} 2k - 4 & p \equiv k \pmod{2}, \\ 2k - 2 & \text{otherwise,} \end{cases}$  (see [9]).

Our next aim is to obtain upper bounds on  $\alpha_s^k(G)$  and  $\alpha_{st}^k(G)$  in terms of the order,  $k$ , minimum and maximum degrees of the graph.

**Theorem 2.** Let  $k \geq 2$  be an integer and let  $G$  be a graph of order  $n$ .

- (i) If  $\delta \geq k - 1$ , then  $\alpha_s^k(G) \leq \frac{\left(\left\lfloor \frac{\Delta + k}{2} \right\rfloor - \left\lceil \frac{\delta - k}{2} \right\rceil - 1\right)n}{\left\lfloor \frac{\Delta + k}{2} \right\rfloor + \left\lceil \frac{\delta - k}{2} \right\rceil + 1}$ .
- (ii) If  $\delta \geq k$ , then  $\alpha_{st}^k(G) \leq \frac{\left(\left\lfloor \frac{\Delta + k - 1}{2} \right\rfloor - \left\lceil \frac{\delta - k + 1}{2} \right\rceil\right)n}{\left\lfloor \frac{\Delta + k - 1}{2} \right\rfloor + \left\lceil \frac{\delta - k + 1}{2} \right\rceil}$ .

In addition, these bounds are sharp.

**Proof.** We only prove (i), as (ii) can be proved similarly. Let  $f$  be a SkIF of  $G$  and  $v \in V^+$ . Since  $f(N[v]) \leq k - 1$ , the vertex  $v$  has at least  $\left\lceil \frac{\delta - k}{2} \right\rceil + 1$  neighbours in  $V^-$ . Therefore  $|[V^+, V^-]| \geq \left(\left\lceil \frac{\delta - k}{2} \right\rceil + 1\right)|V^+|$ . Now let  $v \in V^-$ . Since  $f$  is a SkIF, it follows that the vertex  $v$  has at most  $\left\lfloor \frac{\Delta + k}{2} \right\rfloor$  neighbours in  $V^+$ . This implies that  $|[V^+, V^-]| \leq \left\lfloor \frac{\Delta + k}{2} \right\rfloor |V^-|$ . Hence,

$$\left(\left\lceil \frac{\delta - k}{2} \right\rceil + 1\right)|V^+| \leq \left\lfloor \frac{\Delta + k}{2} \right\rfloor |V^-|.$$

Using  $|V^+| = \frac{n + w(f)}{2}$  and  $|V^-| = \frac{n - w(f)}{2}$ , we obtain the desired bound. The equality in part (i) holds for  $K_n$  and the equality in part (ii) holds for  $K_{n,n}$  by Observation 1. ■

Wang *et al.* [11] proved that if  $G$  is a graph of order  $n$  with no isolated vertices, then  $\alpha_{st}^2(G) \leq \left(\frac{\Delta - 2 \lfloor \frac{\delta}{2} \rfloor}{\Delta}\right)n$ . Moreover, Volkmann in [9] generalized this result to  $\alpha_{st}^k(G) \leq \frac{n}{\Delta} \left(\Delta - 2 \left\lceil \frac{\delta + 1 - k}{2} \right\rceil\right)$ , when  $\delta \geq k - 1$ .

Since

$$\left\lfloor \frac{\Delta + k - 1}{2} \right\rfloor + \left\lceil \frac{\delta - k + 1}{2} \right\rceil \leq \Delta,$$

we deduce from Theorem 2 part (ii) that

$$\alpha_{st}^k(G) \leq \frac{\left(\left\lfloor \frac{\Delta + k - 1}{2} \right\rfloor - \left\lceil \frac{\delta - k + 1}{2} \right\rceil\right)n}{\left\lfloor \frac{\Delta + k - 1}{2} \right\rfloor + \left\lceil \frac{\delta - k + 1}{2} \right\rceil} \leq \frac{n}{\Delta} \left(\Delta - 2 \left\lceil \frac{\delta + 1 - k}{2} \right\rceil\right).$$

Therefore the upper bound in Theorem 2 part (ii) is an improvement of its corresponding result in [9] (in [11] when  $k = 2$ ).

**Corollary 3.** *Let  $k \geq 2$  be an integer and let  $G$  be an  $r$ -regular graph of order  $n$ . Then*

- (i)  $\alpha_s^k(G) \leq \begin{cases} (k-1)n/(r+1) & k \equiv r \pmod{2}, \\ (k-2)n/(r+1) & \text{otherwise.} \end{cases}$
- (ii)  $\alpha_{st}^k(G) \leq \begin{cases} (k-2)n/r & k \equiv r \pmod{2}, \\ (k-1)n/r & \text{otherwise.} \end{cases}$

Note that the upper bound given in part (i) of Corollary 3 can also be found in [8].

A relationship between the signed  $k$ -independence number and the domination number of a graph  $G$  was also established in [8] as follows.

**Theorem 4.** *If  $k \geq 2$  is an integer and  $G$  is a graph of order  $n$  with minimum degree  $\delta \geq k-1$ , then  $\alpha_s^k(G) + 2\gamma(G) \leq n$ .*

This result can be improved by considering the concept of tuple domination. Moreover, in a similar fashion, we establish a relationship between the signed total  $k$ -independence number and the total domination number of a graph as follows.

**Theorem 5.** *If  $k \geq 2$  is an integer and  $G$  is a graph of order  $n$  with minimum degree  $\delta$ , then*

- (i) *if  $\delta \geq k-1$ , then  $\alpha_s^k(G) + 2\gamma(G) \leq n - 2 \left\lceil \frac{\delta - k}{2} \right\rceil$ ,*
- (ii) *if  $\delta \geq k$ , then  $\alpha_{st}^k(G) + 2\gamma_t(G) \leq n - 2 \left\lceil \frac{\delta - k - 1}{2} \right\rceil$ ,*

*and these bounds are sharp.*

**Proof.** We only prove (i), as (ii) can be proved similarly. Let  $f$  be a SkIF of  $G$  and  $v \in V^+$ . Since  $f(N[v]) \leq k-1$ , the vertex  $v$  has at least  $\left\lceil \frac{\delta - k}{2} \right\rceil + 1$  neighbours in  $V^-$ . Hence,  $|N[v] \cap V^-| = \deg_{G^-}(v) \geq \left\lceil \frac{\delta - k}{2} \right\rceil + 1$ . Now let  $v \in V^-$ . Since  $f(N[v]) \leq k-1$ , we deduce that  $\deg_{G^-}(v) \geq \left\lceil \frac{\delta - k}{2} \right\rceil$ . Thus  $|N[v] \cap V^-| \geq \left\lceil \frac{\delta - k}{2} \right\rceil + 1$ . This shows that  $V^-$  is a  $\left(\left\lceil \frac{\delta - k}{2} \right\rceil + 1\right)$ -tuple dominating set in  $G$  and hence  $\gamma_{\times(\lceil \frac{\delta-k}{2} \rceil + 1)}(G) \leq |V^-|$ . Since  $|V^-| = \frac{n - w(f)}{2}$ , it follows that

$$(1) \quad w(f) + 2\gamma_{\times(\lceil \frac{\delta-k}{2} \rceil + 1)}(G) \leq n.$$

Now let  $D$  be a minimum  $\left(\left\lceil \frac{\delta - k}{2} \right\rceil + 1\right)$ -tuple dominating set in  $G$  and let  $u \in D$ . It is easy to see that  $|N[v] \cap D \setminus \{u\}| \geq \left\lceil \frac{\delta - k}{2} \right\rceil$ , for all  $v \in V(G)$ . Therefore  $D \setminus \{u\}$  is a  $\left\lceil \frac{\delta - k}{2} \right\rceil$ -tuple dominating set. Hence,  $\gamma_{\times(\lceil \frac{\delta-k}{2} \rceil + 1)}(G) - 1 = |D \setminus \{u\}| \geq \gamma_{\times \lceil \frac{\delta-k}{2} \rceil}(G)$ . Repeating these inequalities, we obtain

$$\begin{aligned} \gamma_{\times(\lceil \frac{\delta-k}{2} \rceil + 1)}(G) &\geq \gamma_{\times \lceil \frac{\delta-k}{2} \rceil}(G) + 1 \geq \dots \\ (2) \qquad \qquad \qquad &\geq \gamma_{\times 1}(G) + \left\lceil \frac{\delta - k}{2} \right\rceil = \gamma(G) + \left\lceil \frac{\delta - k}{2} \right\rceil. \end{aligned}$$

The result now follows by (1) and (2). The upper bounds are both sharp for the complete graph  $K_n$ . ■

**Lemma 6.** *The following statements hold.*

- (i) *If  $f$  is a SkIF of  $G$ , then  $2|E(G[V^-])| \geq 2|E(G[V^+])| + 2|V^+| - kn + n_o$ ,*
- (ii) *If  $f$  is a STkIF of  $G$ , then  $2|E(G[V^-])| \geq 2|E(G[V^+])| - (k-1)n + n_e$ ,*  
*where  $n_o = |V^o|$  and  $n_e = |V^e|$ .*

**Proof.** We only prove (ii). Let  $v \in V^-$ . Since  $f(N(v)) \leq k-1$ , we observe that  $\deg_{G^-}(v) \geq \deg_{G^+}(v) - k + 1$  and  $\deg_{G^-}(v) \geq \deg_{G^+}(v) - k + 2$  when  $v \in V^- \cap V^e$ . We infer that

$$\begin{aligned} 2|E(G[V^-])| &= \sum_{v \in V^-} \deg_{G^-}(v) \\ &= \sum_{v \in V^- \cap V^o} \deg_{G^-}(v) + \sum_{v \in V^- \cap V^e} \deg_{G^-}(v) \\ &\geq \sum_{v \in V^- \cap V^o} (\deg_{G^+}(v) - k + 1) \\ &\quad + \sum_{v \in V^- \cap V^e} (\deg_{G^+}(v) - k + 2) \\ &= |[V^+, V^-]| - (k-1)|V^-| + |V^- \cap V^e|. \end{aligned}$$

This implies

$$(3) \qquad |[V^+, V^-]| \leq 2|E(G[V^-])| + (k-1)|V^-| - |V^- \cap V^e|.$$

Now let  $v \in V^+$ . Since  $f(N(v)) \leq k-1$ , we have  $\deg_{G^+}(v) \leq \deg_{G^-}(v) + k - 1$

and  $\deg_{G^+}(v) \leq \deg_{G^-}(v) + k - 2$  when  $v \in V^+ \cap V^e$ . It follows that

$$\begin{aligned}
 2|E(G[V^+])| &= \sum_{v \in V^+} \deg_{G^+}(v) \\
 &= \sum_{v \in V^+ \cap V^o} \deg_{G^+}(v) + \sum_{v \in V^+ \cap V^e} \deg_{G^+}(v) \\
 &\leq \sum_{v \in V^+ \cap V^o} (\deg_{G^-}(v) + k - 1) \\
 &\quad + \sum_{v \in V^+ \cap V^e} (\deg_{G^-}(v) + k - 2) \\
 &= |[V^+, V^-]| + (k - 1)|V^+| - |V^+ \cap V^e|.
 \end{aligned}$$

This implies

$$(4) \quad |[V^+, V^-]| \geq 2|E(G[V^+])| - (k - 1)|V^+| + |V^+ \cap V^e|.$$

Combining (3) and (4), we obtain (ii). ■

**Theorem 7.** Let  $k \geq 2$  be an integer, and let  $G$  be a graph of order  $n$  and minimum degree  $\delta$ . Then

- (i)  $\alpha_s^k(G) \leq n - \left\lceil \frac{1}{2} \left( -\delta - k + \sqrt{(\delta + k)^2 + 8n(\delta - k + 2) + 8n_o} \right) \right\rceil$ ,
- (ii)  $\alpha_{st}^k(G) \leq n - \left\lceil \frac{1}{2} \left( 3 - \delta - k + \sqrt{(\delta + k - 3)^2 + 8n(\delta - k + 1) + 8n_e} \right) \right\rceil$ .

**Proof.** We only proof (ii). Let  $v \in V^-$ . Then  $2\deg_{G^-}(v) \geq \deg(v) - k + 1$ . Since  $\deg_{G^-}(v) \leq |V^-| - 1$ , it follows that

$$(5) \quad \sum_{v \in V^-} (\deg(v) - k + 1) \leq 2 \sum_{v \in V^-} \deg_{G^-}(v) \leq 2|V^-|(|V^-| - 1).$$

Furthermore, we have

$$\begin{aligned}
 2|E(G[V^+])| - 2|E(G[V^-])| &= \sum_{v \in V^+} \deg_{G^+}(v) - \sum_{v \in V^-} \deg_{G^-}(v) \\
 &= \sum_{v \in V^+} (\deg(v) - \deg_{G^-}(v)) \\
 &\quad - \sum_{v \in V^-} (\deg(v) - \deg_{G^+}(v)) \\
 &= \sum_{v \in V^+} \deg(v) - |[V^+, V^-]|
 \end{aligned}$$

$$\begin{aligned}
& - \sum_{v \in V^-} \deg(v) + |[V^+, V^-]| \\
& = \sum_{v \in V^+} \deg(v) - \sum_{v \in V^-} \deg(v).
\end{aligned}$$

Applying part (ii) of Lemma 6, we deduce that

$$(6) \quad \sum_{v \in V^+} \deg(v) - (k-1)n + n_e \leq \sum_{v \in V^-} \deg(v).$$

Combining (5) and (6), we obtain

$$\begin{aligned}
2|V^+|^2 - 2|V^-| & \geq \sum_{v \in V^+} \deg(v) + (1-k)n + n_e + (1-k)|V^-| \\
& \geq \delta|V^+| + (1-k)n + n_e + (1-k)|V^-|.
\end{aligned}$$

Using  $|V^+| = n - |V^-|$ , we infer that

$$2|V^-|^2 + (\delta + k - 3)|V^-| - (\delta - k + 1)n - n_e \geq 0.$$

Solving the above inequality for  $|V^-|$  we obtain

$$|V^-| \geq \frac{-(\delta + k - 3) + \sqrt{(\delta + k - 3)^2 + 8n(\delta - k + 1) + 8n_e}}{4}.$$

Using  $|V^-| = (n - \alpha_{st}^k(G))/2$ , we arrive at the desired bound.  $\blacksquare$

The special case  $k = 2$  of parts (i) and (ii) of Theorem 7 can be found in [3] and [10], respectively.

**Theorem 8.** *Let  $k \geq 2$  be an integer, and let  $G$  be a graph of order  $n$ , size  $m$ , maximum degree  $\Delta$  and minimum degree  $\delta$ . Then*

$$(7) \quad \alpha_{st}^k(G) \leq \left\lfloor \frac{(3\Delta + 2 \lfloor \frac{\Delta+k-1}{2} \rfloor + 3k - 3)n - 8m - 2n_e}{3\Delta + 2 \lfloor \frac{\Delta+k-1}{2} \rfloor - k + 1} \right\rfloor,$$

$$(8) \quad \alpha_{st}^k(G) \leq \left\lfloor \frac{(2 \lfloor \frac{\Delta+k-1}{2} \rfloor - 3\delta + 3k - 3)n + 4m - 2n_e}{3\delta + 2 \lfloor \frac{\Delta+k-1}{2} \rfloor - k + 1} \right\rfloor.$$

**Proof.** (i) It follows from (4) and Lemma 6 (ii) that

$$\begin{aligned}
2|E(G[V^-])| + |[V^+, V^-]| & \geq 4|E(G[V^+])| - (k-1)n_+ \\
& - (k-1)n + n_e \\
& = 4m - 4|E(G[V^-])| - 4|[V^+, V^-]| \\
& - (k-1)n_+ - (k-1)n + n_e
\end{aligned}$$



and thus

$$6|E(G[V^-])| + 5|[V^+, V^-]| \geq 4m - (k-1)n_+ - (k-1)n + n_e.$$

Using this inequality and the bound

$$2|E(G[V^-])| = \sum_{v \in V^-} (\deg(v) - |N(v) \cap V^+|) \leq \Delta n_- - |[V^+, V^-]|,$$

we arrive at

$$(9) \quad 3\Delta n_- + 2|[V^+, V^-]| \geq 4m - (k-1)n_+ - (k-1)n + n_e.$$

If  $v \in V^-$ , then  $f(N(v)) \leq k-1$  implies that  $2|N(v) \cap V^+| \leq \deg(v) + k-1 \leq \Delta + k-1$  and therefore  $|N(v) \cap V^+| \leq \lfloor \frac{\Delta+k-1}{2} \rfloor$ . This yields

$$(10) \quad |[V^+, V^-]| \leq \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor n_- = \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor (n - n_+).$$

We deduce from (9) and (10) that

$$\left( 3\Delta + 2 \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor \right) n_- \geq 4m - (k-1)(n - n_-) - (k-1)n + n_e$$

and so

$$n_- \geq \frac{4m - 2(k-1)n + n_e}{3\Delta + 2 \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor - k + 1}.$$

This yields to

$$\begin{aligned} \alpha_{st}^k(G) &= n - 2n_- \\ &\leq \frac{(3\Delta + 2 \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor - k + 1 + 4(k-1))n - 2n_e - 8m}{3\Delta + 2 \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor - k + 1} \\ &= \frac{(3\Delta + 2 \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor + 3k - 3)n - 2n_e - 8m}{3\Delta + 2 \left\lfloor \frac{\Delta+k-1}{2} \right\rfloor - k + 1}, \end{aligned}$$

and (7) is proved.

(ii) It follows from (4) and Lemma 6 (ii) that

$$\begin{aligned} 2m - 2|E(G[V^+])| - |[V^+, V^-]| &= 2|E(G[V^-])| + |[V^+, V^-]| \\ &\geq 4|E(G[V^+])| - (k-1)n_+ \\ &\quad - (k-1)n + n_e \end{aligned}$$

and thus

$$2m \geq 6|E(G[V^+])| + |[V^+, V^-]| - (k-1)n_+ - (k-1)n + n_e.$$

Using this inequality and the bound

$$2|E(G[V^+])| = \sum_{v \in V^+} (\deg(v) - |N(v) \cap V^-|) \geq \delta n_+ - |[V^+, V^-]|,$$

we arrive at

$$2m \geq 3\delta n_+ - 2|[V^+, V^-]| - (k-1)n_+ - (k-1)n + n_e.$$

Applying (10), we conclude that

$$2m \geq \left( 3\delta + 2 \left\lfloor \frac{\Delta + k - 1}{2} \right\rfloor - k + 1 \right) n_+ - 2 \left\lfloor \frac{\Delta + k - 1}{2} \right\rfloor n - (k-1)n + n_e.$$

Using this inequality and  $n_+ = \frac{n + \alpha_{st}^k(G)}{2}$ , we obtain the bound (8), and the proof is complete. ■

If  $K_{p,p}$  is the complete bipartite graph, then Observation 1 (iii) demonstrates that the inequalities (7) and (8) are sharp, when  $k \leq p + 1$ .

Using Lemma 6 (i) instead of Lemma 6 (ii), we obtain analogously to the proof of Theorem 8 the following two upper bounds on the signed  $k$ -independence number.

**Theorem 9.** *Let  $k \geq 2$  be an integer, and let  $G$  be a graph of order  $n$ , size  $m$ , maximum degree  $\Delta$  and minimum degree  $\delta$ . Then*

$$(11) \quad \alpha_s^k(G) \leq \left\lfloor \frac{(3\Delta + 2 \lfloor \frac{\Delta+k}{2} \rfloor + 3k - 4) n - 8m - 2n_o}{3\Delta + 2 \lfloor \frac{\Delta+k}{2} \rfloor - k + 4} \right\rfloor,$$

$$(12) \quad \alpha_{st}^k(G) \leq \left\lfloor \frac{(2 \lfloor \frac{\Delta+k}{2} \rfloor - 3\delta + 3k - 4) n + 4m - 2n_o}{3\delta + 2 \lfloor \frac{\Delta+k}{2} \rfloor - k + 4} \right\rfloor.$$

The complete graph  $K_n$ , when  $n + 1 \geq k$ , shows that the inequalities (11) and (12) are sharp.

### 3. LOWER BOUNDS

As an application of the concepts of (total) limited packing we establish some lower bounds on the parameters  $\alpha_s^k(G)$  and  $\alpha_{st}^k(G)$  of a graph  $G$ .

**Theorem 10.** *Let  $G$  be graph of order  $n$  and  $2 \leq k \leq \Delta(G)$ . Then*

$$(i) \quad \alpha_s^k(G) \geq -n + 2 \left\lfloor \frac{\delta + 2\rho(G) + k - 2}{2} \right\rfloor,$$

(ii)  $\alpha_{st}^k(G) \geq -n + 2 \left\lfloor \frac{\delta + 2\rho_0(G) + k - 3}{2} \right\rfloor$ ,  
and these bounds are sharp.

**Proof.** We only prove part (i), and part (ii) can be proved in a similar fashion. Let  $B$  be a  $\left\lfloor \frac{\delta + k}{2} \right\rfloor$ -limited packing set in  $G$ . We define  $f : V(G) \rightarrow \{-1, 1\}$  by

$$f(v) = \begin{cases} +1 & v \in B, \\ -1 & v \in V \setminus B. \end{cases}$$

For all vertices  $v$  in  $V(G)$ ,

$$\begin{aligned} f(N[v]) &= 2|N[v] \cap B| - |N[v]| \\ &\leq 2 \left\lfloor \frac{\delta + k}{2} \right\rfloor - \delta - 1 \leq k - 1. \end{aligned}$$

Hence,  $f$  is a signed  $k$ -independence function of  $G$  and therefore

$$(13) \quad \alpha_s^k(G) \geq f(V(G)) = 2|B| - n = 2L_{\lfloor \frac{\delta+k}{2} \rfloor}(G) - n.$$

Assume that  $B'$  is a maximum  $\left\lfloor \frac{\delta + k}{2} \right\rfloor$ -limited packing set in  $G$ . Suppose to the contrary that  $V = B'$ . If  $v$  is a vertex in  $V(G)$  with maximum degree, then  $\left\lfloor \frac{\delta + k}{2} \right\rfloor > |N[v] \cap B'| = \Delta + 1$ , a contradiction. Now let  $u \in V \setminus B'$ . It is easy to check that  $B' \cup \{u\}$  is a  $\left( \left\lfloor \frac{\delta + k}{2} \right\rfloor + 1 \right)$ -limited packing in  $G$ . Thus

$$L_{\lfloor \frac{\delta+k}{2} \rfloor}(G) + 1 = |B' \cup \{u\}| \leq L_{\lfloor \frac{\delta+k}{2} \rfloor + 1}(G).$$

Indeed, we have

$$\begin{aligned} L_{\lfloor \frac{\delta+k}{2} \rfloor}(G) &\geq L_{\lfloor \frac{\delta+k}{2} \rfloor - 1}(G) + 1 \geq \cdots \\ &\geq L_1(G) + \left\lfloor \frac{\delta + k}{2} \right\rfloor - 1 = \rho(G) + \left\lfloor \frac{\delta + k}{2} \right\rfloor - 1. \end{aligned}$$

By (13), we deduce that  $\alpha_s^k(G) \geq -n + 2\rho(G) + 2 \left\lfloor \frac{\delta + k - 2}{2} \right\rfloor$ , as desired. The equalities hold for the graph  $K_n$ . ■

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